



NUMBER OF ZEROS OF A POLYNOMIAL IN A GIVEN DOMAIN

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Abstract. In this paper, we obtain results concerning the bound for the number of zeros for the polynomial $p(z)$ which generalize earlier well-known result due to Bidkham and Dewan [*On the zeros of a polynomial*, Numerical Methods and Approximation Theory III, Niš (1987), 121–128] and Mohammad [*On the zeros of polynomials*, Amer. Math. Monthly, 72 (1965), 631-633]. We also obtain result for location of zeros of polynomial

$$p(z) = \sum_{i=0}^m \frac{a_i}{(i!)^\lambda} z^i + a_n z^n, \quad a_n \neq 0, \quad 0 \leq m \leq n-1, \quad \lambda \geq 0.$$

1. Introduction and Statement of Results

The problems in the analytic theory of polynomials concerning the number of zeros in a circle have been frequently investigated.

Over many decades, a large number of research papers, e.g., [2, 4, 5, 6, 7, 8, 9, 10, 11, 12, 14, 16, 17, 19, 20] have been published.

For the number of zeros in a circle we have the following result due to Singh [18].

Theorem A. Let $p(z) = \sum_{i=0}^n a_i z^i$ be a polynomial of degree n such that $\min_{0 \leq i \leq n} |a_i| \geq 1$ and $\max_{0 \leq i \leq n-1} |a_i| \leq |a_n|$, then

$$n \left(\frac{R}{k} \right) \leq \frac{2 \log\{(n+1)|a_n|R^n\}}{\log k} \quad (k > 1) \quad (1.1)$$

where $n(r)$ is the number of zeros of $p(z)$ in $|z| \leq r$ and

$$R = \max \left\{ \left| \frac{a_{n-1}}{a_n} \right|, \left| \frac{a_{n-2}}{a_n} \right|^{\frac{1}{2}}, \left| \frac{a_{n-3}}{a_n} \right|^{\frac{1}{3}}, \dots \right\}.$$

The above theorem was later improved by Rahman [15].

For the class of polynomial with real coefficients we have the following theorem due to Mohammad [13].

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Theorem B. Let $p(z) = \sum_{i=0}^n a_i z^i$ be a polynomial of degree n such that $a_n \geq a_{n-1} \geq \dots \geq a_1 \geq a_0 > 0$, then the number of zeros of $p(z)$ in $|z| \leq \frac{1}{2}$ does not exceed

$$1 + \frac{1}{\log 2} \log \frac{a_n}{a_0}. \tag{1.2}$$

Bidkham and Dewan [3] generalized the above theorem for different classes of polynomials and proved the following.

Theorem C. Let $p(z) = \sum_{i=0}^n a_i z^i$ be a polynomial of degree n such that $a_n \leq a_{n-1} \leq \dots \leq a_{k+1} \leq a_k \geq a_{k-1} \geq \dots \geq a_0$ for some k , $0 \leq k \leq n$, then the number of zeros of $p(z)$ in $|z| \leq \frac{1}{2}$ does not exceed

$$\frac{1}{\log 2} \left\{ \log \frac{|a_n| + |a_0| - a_n - a_0 + 2a_k}{|a_0|} \right\}. \tag{1.3}$$

Theorem D. Let $p(z) = \sum_{i=0}^n a_i z^i$ be a polynomial of degree n with complex coefficients. If for some real β , $|\arg a_i - \beta| \leq \alpha \leq \frac{\pi}{2}$, $0 \leq i \leq n$ and for some $0 < t \leq 1$,

$$|a_0| \leq t|a_1| \leq \dots \leq t^k |a_k| \geq t^{k+1} |a_{k+1}| \geq \dots \geq t^n |a_n|,$$

$0 \leq k \leq n$, then the number of zeros of $p(z)$ in $|z| \leq \frac{1}{2}$ does not exceed

$$\frac{1}{\log 2} \log \left\{ \frac{2t^{k+1} |a_k| \cos \alpha + 2t \sin \alpha \sum_{i=0}^n t^i |a_i| - t^{n+1} |a_n| (\cos \alpha + \sin \alpha - 1)}{t |a_0|} \right\}. \tag{1.4}$$

In this paper, we generalize Theorems B,C and D for polynomials with real and complex coefficients. More precisely, we prove.

Theorem 1. Let $p(z) = \sum_{i=0}^n a_i z^i$ be a polynomial of degree n such that $a_n \leq a_{n-1} \leq \dots \leq a_{k+1} \leq a_k \geq a_{k-1} \geq \dots \geq a_0$ for some k , $0 \leq k \leq n$, then the number of zeros of $p(z)$ in $|z| \leq \frac{R}{2}$ ($R > 0$) does not exceed

$$\frac{1}{\log 2} \left\{ \log \frac{|a_n| R^{n+1} + |a_0| + R^k (a_k - a_0) + R^n (a_k - a_n)}{|a_0|} \right\} \quad \text{for } R \geq 1$$

and

$$\frac{1}{\log 2} \left\{ \log \frac{|a_n| R^{n+1} + |a_0| + R(a_k - a_0) + R^n (a_k - a_n)}{|a_0|} \right\} \quad \text{for } R \leq 1. \tag{1.5}$$

For $R = 1$, Theorem 1 reduces to inequality (1.3). For $R = 1, k = n$ and $a_0 > 0$ it reduces to inequality (1.2).

Theorem 2. Let $p(z) = \sum_{i=0}^n a_i z^i$ be a polynomial of degree n with complex coefficients. If for some real $\beta, |\arg a_i - \beta| \leq \alpha \leq \frac{\pi}{2}, 0 \leq i \leq n$, and for some $R > 0$,

$$|a_0| \leq R|a_1| \leq \dots \leq R^k|a_k| \geq \dots \geq R^n|a_n|,$$

$0 \leq k \leq n$, then the number of zeros of $p(z)$ in $|z| \leq \frac{R}{2} (R > 0)$ does not exceed

$$\frac{1}{\log 2} \left\{ \log \frac{2R^{k+1}|a_k| \cos \alpha + 2R \sin \alpha \sum_{i=0}^n R^i|a_i| - R^{n+1}|a_n|(\cos \alpha + \sin \alpha - 1)}{R|a_0|} \right\}$$

For $R = 1, k = n$ and $\alpha = \beta = 0$, the above theorem reduces to inequality (1.2).

Regarding the bound for zeros of the polynomial $p(z) = \sum_{i=0}^m \frac{a_i}{(i!)^\lambda} z^i + a_n z^n, a_n \neq 0, 0 \leq m \leq n - 1, \lambda \geq 0$, we have able to prove the following.

Theorem 3. Let $p(z) = \sum_{i=0}^m \frac{a_i}{(i!)^\lambda} z^i + \frac{a_n}{(n!)^\lambda} z^n, 0 \leq m \leq n - 1, \lambda \geq 0$ be a polynomial of degree n with complex coefficients such that $|a_i| \leq (i!)^\lambda |a_n|, 0 \leq i \leq m$, then all zeros of $p(z)$ lie in $|z| < r$, where r is unique positive root of equation

$$|z|^{n-m} - |z|^{n-m-1} = (n!)^\lambda \tag{1.6}$$

2. Lemmas

The following lemma is well-known Jensen's theorem (see page 208 of [1]).

Lemma 2.1. Assume that f is analytic in a disk $|z| \leq R$, but not identically zero. Assume also $f(0) \neq 0$. Let f have zeros $\{a_k\}, k = 1, 2, \dots, n$ in $|z| \leq R$, then

$$\frac{1}{2\pi} \int_0^{2\pi} \log |f(Re^{i\theta})| d\theta - \log |f(0)| = \sum_{i=1}^n \log \frac{R}{|a_i|}.$$

One get easily from Lemma 2.1, the following.

Lemma 2.2. If $f(z)$ is regular, $f(0) \neq 0$ and $|f(z)| \leq M(r)$ in $|z| \leq r$, then the number of zeros of $f(z)$ in $|z| \leq \frac{r}{2}$ does not exceed

$$\frac{1}{\log 2} \left(\log \frac{M(r)}{|f(0)|} \right).$$

Lemma 2.3. Let $p(z) = \sum_{i=0}^n a_i z^i$ be a polynomial of degree n such that $|\arg_i - \beta| \leq \alpha \leq \frac{\pi}{2}$ for $i = 0, 1, \dots, n$ for some real β , then for some $t > 0$

$$|t a_i - a_{i-1}| \leq |t| a_i - |a_{i-1}| \cos \alpha + (t|a_i| + |a_{i-1}|) \sin \alpha.$$

The proof is omitted as it follows from the inequality (6) in [4].

3. Proof of the Theorems

Proof of Theorem 1. Consider the polynomial

$$\begin{aligned} g(z) &= (1 - z)p(z) \\ &= -a_n z^{n+1} + a_0 + \sum_{i=1}^n (a_i - a_{i-1})z^i. \end{aligned}$$

For $|z| \leq R$, we have

$$|g(z)| \leq |a_n|R^{n+1} + |a_0| + \sum_{i=1}^k (a_i - a_{i-1})R^i + \sum_{i=k+1}^n (a_{i-1} - a_i)R^i$$

which gives

$$|g(z)| \leq |a_n|R^{n+1} + |a_0| + R^k(a_k - a_0) + R^n(a_k - a_n) \quad \text{for } R \geq 1$$

and

$$|g(z)| \leq |a_n|R^{n+1} + |a_0| + R(a_k - a_0) + R^k(a_k - a_n) \quad \text{for } R \leq 1$$

which further imply

$$\left| \frac{g(z)}{g(0)} \right| \leq \frac{|a_n|R^{n+1} + |a_0| + R^k(a_k - a_0) + R^n(a_k - a_n)}{|a_0|} \quad \text{for } R \geq 1$$

and

$$\left| \frac{g(z)}{g(0)} \right| \leq \frac{|a_n|R^{n+1} + |a_0| + R(a_k - a_0) + R^k(a_k - a_n)}{|a_0|} \quad \text{for } R \leq 1.$$

Applying Lemma 2.2 to $g(z)$, we get the number of zeros of $g(z)$ in $|z| \leq \frac{R}{2}$ does not exceed

$$\frac{1}{\log 2} \left\{ \log \frac{|a_n|R^{n+1} + |a_0| + R^k(a_k - a_0) + R^n(a_k - a_n)}{|a_0|} \right\} \quad \text{for } R \geq 1$$

and

$$\frac{1}{\log 2} \left\{ \log \frac{|a_n|R^{n+1} + |a_0| + R(a_k - a_0) + R^k(a_k - a_n)}{|a_0|} \right\} \quad \text{for } R \leq 1.$$

As the number of zeros of $p(z)$ in $|z| \leq \frac{R}{2}$ does not exceed the number of zeros of $g(z)$ in $|z| \leq \frac{R}{2}$, the theorem follows. \square

Proof of Theorem 2. Consider

$$F(z) = (R - z)p(z) = -a_n z^{n+1} + Ra_0 + \sum_{i=1}^n (Ra_i - a_{i-1})z^i.$$

For $|z| \leq R$, we have

$$|F(z)| \leq |a_n|R^{n+1} + R|a_0| + \sum_{i=1}^n |Ra_i - a_{i-1}|R^i.$$

Using Lemma 2.3, for $|z| \leq R$, we get

$$\begin{aligned} |F(z)| &\leq |a_n|R^{n+1} + R|a_0| + \sum_{i=1}^n |R|a_i| - |a_{i-1}||R^i \cos \alpha + \sum_{i=1}^n (R|a_i| + |a_{i-1}|)R^i \sin \alpha \\ &= |a_n|R^{n+1} + R|a_0| + \sum_{i=1}^k (R|a_i| - |a_{i-1}|)R^i \cos \alpha + \sum_{i=k+1}^n (|a_{i-1}| - R|a_i|)R^i \cos \alpha \\ &\quad + \sum_{i=1}^n (R|a_i| + |a_{i-1}|)R^i \sin \alpha \\ &= 2R^{k+1}|a_k| \cos \alpha + 2R \sin \alpha \sum_{i=0}^n R^i |a_i| - R|a_0|(\cos \alpha + \sin \alpha - 1) - R^{n+1}|a_n|(\cos \alpha + \sin \alpha - 1) \\ &\leq 2R^{k+1}|a_k| \cos \alpha + 2R \sin \alpha \sum_{i=0}^n R^i |a_i| - R^{n+1}|a_n|(\cos \alpha + \sin \alpha - 1). \end{aligned}$$

Further, proceeding on the same lines of the proof of Theorem 1, the proof of Theorem 2 can be completed. \square

Proof of Theorem 3. For $|z| \leq 1$, the conclusion of Theorem 3 is evident. If $|z| > 1$, then

$$\begin{aligned} |p(z)| &= \left| \frac{a_n}{(n!)^\lambda} z^n + \frac{a_m}{(m!)^\lambda} z^m + \dots + a_1 z + a_0 \right| \\ &\geq \frac{|a_n||z|^n}{(n!)^\lambda} - \left\{ \frac{|a_m|}{(m!)^\lambda} |z|^m + \dots + |a_1||z| + |a_0| \right\} \\ &= |a_n||z|^n \left\{ \frac{1}{(n!)^\lambda} - \left[\frac{1}{(m!)^\lambda} \frac{|a_m|}{|a_n|} \frac{1}{|z|^{n-m}} + \dots + \frac{|a_1|}{|a_n|} \frac{1}{|z|^{n-1}} + \frac{|a_0|}{|a_n|} \frac{1}{|z|^n} \right] \right\} \end{aligned}$$

Now if $|a_i| \leq (i!)^\lambda |a_n|$, $i = 0, \dots, m$ is assumed, we conclude

$$\begin{aligned} |p(z)| &\geq |a_n||z|^n \left\{ \frac{1}{(n!)^\lambda} - \left[\frac{1}{|z|^{n-m}} + \dots + \frac{1}{|z|^n} \right] \right\} \\ &> |a_n||z|^n \left\{ \frac{1}{(n!)^\lambda} - \sum_{i=0}^{\infty} \frac{1}{|z|^i} + \left(1 + \frac{1}{|z|} + \dots + \frac{1}{|z|^{n-m-1}}\right) \right\} \\ &= |a_n||z|^n \left\{ \frac{1}{(n!)^\lambda} - \frac{|z|}{|z|-1} + \frac{|z|}{|z|-1} - \frac{1}{1 - \frac{1}{|z|}} \right\} \end{aligned}$$

$$\begin{aligned}
&= |a_n||z|^n \left\{ \frac{1}{(n!)^\lambda} - \frac{1}{(|z|-1)|z|^{n-m-1}} \right\} \\
&= \frac{|a_n||z|^n}{(n!)^\lambda |z|^{n-m-1} (|z|-1)} \left\{ (|z|-1)|z|^{n-m-1} - (n!)^\lambda \right\} \\
&= \frac{|a_n||z|^n}{(n!)^\lambda |z|^{n-m-1} (|z|-1)} H(|z|) \\
&\geq 0 \quad \text{for } |z| \geq r.
\end{aligned}$$

Hereby proving Theorem 3. □

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