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UNIQUENESS OF CERTAIN TYPE OF DIFFERENTIAL-DIFFERENCE AND DIFFERENCE POLYNOMIALS

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Abstract. In this paper we consider certain difference and differential-difference polynomials sharing some polynomial and improve a number of results in [9], [11] and [17]. In particular we point out a gap in the argument in the proof of the main results in [11] and rectifying the same we improve and extend the result to a large extent.

1. Introduction, Definitions and Results

In this paper by meromorphic functions we shall always mean meromorphic functions in the complex plane.

We adopt the standard notations of value distribution theory (see [4]). For a non-constant meromorphic function f, we denote by T(r, f) the Nevanlinna characteristic of f and by S(r, f) any quantity satisfying $S(r, f) = o\{T(r, f)\}$ as $r \to \infty$ possibly outside a set of finite linear measure. We denote by T(r) the maximum of T(r, f) and T(r, g). The notation S(r) denotes any quantity satisfying S(r) = o(T(r)) as $r \to \infty$, outside of a possible exceptional set of finite logarithmic measure.

A meromorphic function a(z) is called a small function with respect to f, provided that T(r, a) = S(r, f). The order and hyper order of meromorphic function f are defined respectively by

$$\sigma(f) = \limsup_{r \longrightarrow \infty} \frac{\log T(r, f)}{\log r}$$

and

$$\sigma_2(f) = \limsup_{r \to \infty} \frac{\log \log T(r, f)}{\log r}.$$

Let f(z) and g(z) be two non-constant meromorphic functions. Let a(z) be a small function with respect to f(z) and g(z). We say that f(z) and g(z) share a(z) CM (counting multiplicities) if f(z) - a(z) and g(z) - a(z) have the same zeros with the same multiplicities and we say that f(z), g(z) share a(z) IM (ignoring multiplicities) if we do not consider the multiplicities.

Received June 5, 2016, accepted September 12, 2017.

²⁰¹⁰ Mathematics Subject Classification. Primary 30D35.

Key words and phrases. Meromorphic function, difference polynomial, uniqueness, weighted sharing. Corresponding author: Abhijit Banerjee.

Define the difference of f(z) by

$$\Delta_c f(z) = f(z+c) - f(z).$$

In 2010, Qi et al. [10] proved the following uniqueness theorem regarding shift operator.

Theorem A. Let f and g be transcendental entire functions of finite order, let c be a non-zero complex constant, and let $n \ge 6$ be an integer. If $f^n(z)f(z+c)$ and $g^n(z)g(z+c)$ share z CM, then $f(z) \equiv tg(z)$ for a constant t satisfying $t^{n+1} = 1$.

In 2011 Zhang-Cao and Li [17] proved the difference counterparts of the above theorem in the following manner.

Theorem B. Let f and g be two non-constant entire functions of finite order, and let $n \ge 5$ be an integer. Suppose that c is a non-zero complex constant such that $\Delta_c f(z) \ne 0$ and $\Delta_c g(z) \ne 0$. If $f^n(z)\Delta_c f(z)$ and $g^n(z)\Delta_c g(z)$ share z CM and g(z+c) and g(z) share 0 CM, then $f(z) \equiv tg(z)$, where t is a constant satisfying $t^{n+1} = 1$.

Theorem C. Let f and g be non-constant entire functions of finite order, and let $n \ge 5$ be an integer. Suppose that c is a non-zero complex constant such that $\Delta_c f(z) \ne 0$ and $\Delta_c g(z) \ne 0$. If $f^n(z)\Delta_c f(z)$ and $g^n(z)\Delta_c g(z)$ share 1 CM and g(z+c) and g(z) share 0 CM, then

- (1) $f(z) \equiv tg(z)$ for a constant t satisfying $t^{n+1} = 1$;
- (2) $f(z) = c_1 e^{az}$ and $g(z) = c_2 e^{-az}$, where a, c_1 and c_2 are non-zero constants such that $(c_1c_2)^{n+1}(e^{ac} + e^{-ac} 2) = -1$.

In 2012 Liu-Liu and Cao [9] first considered the value distribution of the differentialdifference counterpart of the above theorems and obtained the following result.

Theorem D. Let f(z) be a transcendental entire function of finite order, not a periodic function with period c and $\alpha(z)$ be a small function with respect to f(z). If $n \ge k+3$, then the differencedifferential polynomial $[f^n(z)\Delta_c f(z)]^{(k)} - \alpha(z)$ has infinitely many zeros.

In 2013, Wu [11] obtained the uniqueness result corresponding to Theorem D as follows.

Theorem E. Let f(z) and g(z) be transcendental entire functions of $\sigma_2(f) < 1$, $n \ge 2k + 7$. Suppose that c is a non-zero complex constant such that $\Delta_c f(z) \neq 0$ and $\Delta_c g(z) \neq 0$. If $[f^n(z)\Delta_c f(z)]^{(k)}$ and $[g^n(z)\Delta_c g(z)]^{(k)}$ share 1 CM, then $f(z) \equiv tg(z)$, where t is a constant satisfying $t^{n+1} = 1$.

Theorem F. Let f(z) and g(z) be transcendental entire functions of $\sigma_2(f) < 1$, $n \ge 5k + 13$. Suppose that *c* is a non-zero complex constant such that $\Delta_c f(z) \neq 0$ and $\Delta_c g(z) \neq 0$. If $[f^n(z)\Delta_c f(z)]^{(k)}$ and $[g^n(z)\Delta_c g(z)]^{(k)}$ share 1 IM, then $f(z) \equiv tg(z)$, where *t* is a constant satisfying $t^{n+1} = 1$.

We see that considering *k*-th derivative of the difference form in Theorem C the condition g(z + c) and g(z) share 0 CM has been removed here by the author in [11], but we point out some gaps in the proof of Theorem 5 [11]. In p. 7, at the starting of the second stanza that is the line after equation (62), the author used $T(r, \frac{f}{g}) = T(r, f) + T(r, g) + O(1)$ and putting this expression in (62) the author derived the conclusion of the theorem. But we know that $T(r, \frac{f}{g}) \leq T(r, f) + T(r, g) + O(1)$. So it will be interesting to find the correct form of Theorems E-F. Here we have dealt with this problem. In the paper, in the corrected version of Theorems E and F we have reduced the lower bound of *n*. We diminish the lower bound in Theorem D as well. Finally combining Theorems C-D, we present a single theorem where sharing of a polynomial under much relaxed sharing hypothesis has been taken under consideration and thus we improve and unify Theorems C-D in a more compact form.

The relaxation has been done on the basis of the notion of weighted sharing obtained by I. Lahiri as follows.

Definition 1. [8] Let $k \in \mathbb{N} \cup \{0\} \cup \{\infty\}$. For $a \in \mathbb{C} \cup \{\infty\}$ we denote by $E_k(a; f)$ the set of all *a*-points of *f* where an *a*-point of multiplicity *m* is counted *m* times if $m \le k$ and k+1 times if m > k. If $E_k(a; f) = E_k(a; g)$, we say that *f*, *g* share the value *a* with weight *k*.

The definition implies that if *f*, *g* share a value *a* with weight *k*, then z_0 is an *a*-point of *f* with multiplicity $m(\le k)$ if and only if it is an *a*-point of *g* with multiplicity $m(\le k)$ and z_0 is an a-point of *f* with multiplicity m(> k) if and only if it is an a-point of *g* with multiplicity n(> k), where *m* is not necessarily equal to *n*.

We write *f*, *g* share (a, k) to mean that *f*, *g* share the value *a* with weight *k*. Clearly if *f*, *g* share (a, k) then *f*, *g* share (a, p) for any integer $p, 0 \le p < k$. Also we note that *f*, *g* share a value *a* IM or CM if and only if *f*, *g* share (a, 0) or (a, ∞) respectively.

We now present the following theorems which are the main results of the paper.

Theorem 1. Let f(z) be a transcendental entire function of finite order such that $\Delta_c f(z) \neq 0$ and $\alpha(z)$ be a small function with respect to f(z). If $n \geq k+2$, then the difference-differential polynomial $[f^n(z)\Delta_c f(z)]^{(k)} - \alpha(z)$ has infinitely many zeros.

Theorem 2. Let f(z) and g(z) be transcendental entire functions of finite order and n, k be two positive integers. Suppose that c is a non-zero complex constant such that $\Delta_c f(z) \neq 0$ and $\Delta_c g(z) \neq 0$. Let $[f^n(z)\Delta_c f(z)]^{(k)}$ and $[g^n(z)\Delta_c g(z)]^{(k)}$ share $(1, k_1)$ and one of the following conditions holds:

- (i) $k_1 \ge 2$ and n > 2k + 5;
- (ii) $k_1 = 1$ and $n > \frac{5k}{2} + 6$;
- (iii) $k_1 = 0$ and n > 5k + 11.

Then one of the following two conclusions hold:

- (1) $f^n(z)\Delta_c f(z) \equiv g^n(z)\Delta_c g(z);$
- (2) $f(z) = c_1 e^{az}$ and $g(z) = c_2 e^{-az}$, where a, c_1 and c_2 are non-zero constants such that $(-1)^k (c_1 c_2)^{n+1} [(n+1)a]^{2k} (2 e^{ac} e^{-ac}) = 1.$

Theorem 3. Let f(z) and g(z) be transcendental entire functions of finite order and n be a positive integer such that $n \ge 5$. Suppose that c is a non-zero complex constant such that $\Delta_c f(z) \ne 0$ and $\Delta_c g(z) \ne 0$. Let $f^n(z)\Delta_c f(z) - p(z)$ and $g^n(z)\Delta_c g(z) - p(z)$ share (0,2), where p(z) be a nonzero polynomial such that $\deg(p) \le n - 1$ and g(z), g(z + c) share 0 CM. Now

- (1) when p(z) is a non-constant polynomial, then $f(z) \equiv tg(z)$ for a constant t satisfying $t^{n+1} = 1$;
- (2) when p(z) is a nonzero constant d, then one of the following two conclusions hold:
 - (i) $f(z) \equiv tg(z)$ for a constant t satisfying $t^{n+1} = 1$;
 - (ii) $f(z) = c_1 e^{az}$ and $g(z) = c_2 e^{-az}$, where a, c_1 and c_2 are non-zero constants such that $(c_1c_2)^{n+1}(e^{ac} + e^{-ac} 2) = -d^2$.

We now explain following definitions and notations which are used in the paper.

Definition 2 ([5]). Let $a \in \mathbb{C} \cup \{\infty\}$. For $p \in \mathbb{N}$ we denote by $N(r, a; f | \le p)$ the counting function of those *a*-points of *f* (counted with multiplicities) whose multiplicities are not greater than *p*. By $\overline{N}(r, a; f | \le p)$ we denote the corresponding reduced counting function.

In an analogous manner we can define $N(r, a; f | \ge p)$ and $\overline{N}(r, a; f | \ge p)$.

Definition 3 ([8]). Let $k \in \mathbb{N} \cup \{\infty\}$. We denote by $N_k(r, a; f)$ the counting function of *a*-points of *f*, where an *a*-point of multiplicity *m* is counted *m* times if $m \le k$ and *k* times if m > k. Then $N_k(r, a; f) = \overline{N}(r, a; f) + \overline{N}(r, a; f | \ge 2) + \dots + \overline{N}(r, a; f | \ge k)$. Clearly $N_1(r, a; f) = \overline{N}(r, a; f)$.

Definition 4. Let $a, b \in \mathbb{C} \cup \{\infty\}$. Let p be a positive integer. We denote by $\overline{N}(r, a; f | \ge p | g = b)$ ($\overline{N}(r, a; f | \ge p | g \ne b$)) the reduced counting function of those a-points of f with multiplicities $\ge p$, which are the b-points (not the b-points) of g.

Definition 5 ([6, 8]). Let f, g share a value a IM. We denote by $\overline{N}_*(r, a; f, g)$ the reduced counting function of those a-points of f whose multiplicities differ from the multiplicities of the corresponding a-points of g.

2. Lemmas

Let *F*, *G* be two non-constant meromorphic functions. Henceforth we shall denote by *H* the following function

$$H = \left(\frac{F''}{F'} - \frac{2F'}{F-1}\right) - \left(\frac{G''}{G'} - \frac{2G'}{G-1}\right).$$
 (2.1)

Lemma 1 ([12]). Let f(z) be a non-constant meromorphic function and let $a_n(z) \neq 0$, $a_{n-1}(z)$, ..., $a_0(z)$ be meromorphic functions such that $T(r, a_i(z)) = S(r, f)$ for i = 0, 1, 2, ..., n. Then

$$T(r, a_n f^n + a_{n-1} f^{n-1} + \ldots + a_1 f + a_0) = n T(r, f) + S(r, f).$$

Lemma 2 ([13]). Let f(z) and g(z) be two non-constant meromorphic functions. Then

$$N(r,\infty;\frac{f}{g}) - N(r,\infty;\frac{g}{f}) = N(r,\infty;f) + N(r,0;g) - N(r,\infty;g) - N(r,0;f).$$

Lemma 3 ([16]). Let f(z) be a non-constant meromorphic function and p, k be positive integers. Then

$$N_p(r,0;f^{(k)}) \le T(r,f^{(k)}) - T(r,f) + N_{p+k}(r,0;f) + S(r,f),$$
(2.2)

$$N_p(r,0;f^{(k)}) \le k\overline{N}(r,\infty;f) + N_{p+k}(r,0;f) + S(r,f).$$
(2.3)

Lemma 4 ([7]). If $N(r,0; f^{(k)} | f \neq 0)$ denotes the counting function of those zeros of $f^{(k)}(z)$ which are not the zeros of f(z), where a zero of $f^{(k)}(z)$ is counted according to its multiplicity, then

$$N(r,0; f^{(k)} | f \neq 0) \le k\overline{N}(r,\infty; f) + N(r,0; f | < k) + k\overline{N}(r,0; f | \ge k) + S(r, f).$$

Lemma 5 ([3]). Let f(z) be a meromorphic function of finite order σ , and let $c \in \mathbb{C} \setminus \{0\}$ be fixed. Then for each $\varepsilon > 0$, we have

$$m(r,\frac{f(z+c)}{f(z)})+m(r,\frac{f(z)}{f(z+c)})=O(r^{\sigma-1+\varepsilon})=S(r,f).$$

The following lemma has little modifications of the original version (Theorem 2.1 of [3])

Lemma 6. Let f(z) be a transcendental meromorphic function of finite order, $c \in \mathbb{C} \setminus \{0\}$ be fixed. Then

$$T(r, f(z+c)) = T(r, f) + S(r, f).$$

Lemma 7. Let f(z), g(z) be two transcendental entire functions of finite order, $c \in \mathbb{C} \setminus \{0\}$ be finite complex constant such that $\Delta_c f(z) \neq 0$ and $\Delta_c g(z) \neq 0$. Let $n(\geq 1)$ be an integer such that n > 3. If g(z + c), g(z) share 0 CM and $f^n(z)\Delta_c f(z) \equiv g^n(z)\Delta_c g(z)$, then $f(z) \equiv tg(z)$ for a constant t with $t^{n+1} = 1$.

Proof. Proof of Lemma follows from the proof of Theorem 1.10 [17].

x c

Lemma 8. Let f(z) be a transcendental entire function of finite order, $c \in \mathbb{C} \setminus \{0\}$ be finite complex constants and $n \in \mathbb{N}$. Let $\Phi(z) = f^n(z)\Delta_c f(z)$, where $\Delta_c f(z) \neq 0$. Then we have

$$n \ T(r,f) \leq T(r,\Phi) - N(r,0;\Delta_c f) + S(r,f).$$

Proof. Note that by Lemmas 2 and 5 we have

$$\begin{split} m(r, f^{n+1}) &= m(r, \frac{\Phi f}{\Delta_c f}) \\ &\leq m(r, \Phi) + m(r, \frac{f}{\Delta_c f}) + S(r, f) \\ &= m(r, \Phi) + T(r, \frac{f}{\Delta_c f}) - N(r, \infty; \frac{f}{\Delta_c f}) + S(r, f) \\ &= m(r, \Phi) + T(r, \frac{\Delta_c f}{f}) - N(r, \infty; \frac{f}{\Delta_c f}) + S(r, f) \\ &= m(r, \Phi) + N(r, \infty; \frac{\Delta_c f}{f}) + m(r, \frac{\Delta_c f}{f}) - N(r, \infty; \frac{f}{\Delta_c f}) + S(r, f) \\ &\leq m(r, \Phi) + N(r, 0; f) - N(r, 0; \Delta_c f) + S(r, f) \\ &\leq m(r, \Phi) + T(r, f) - N(r, 0; \Delta_c f) + S(r, f). \end{split}$$

By Lemma 1 we get

$$(n+1) T(r,f) = m(r,f^{n+1}) \le T(r,\Phi) + T(r,f) - N(r,0;\Delta_c f) + S(r,f),$$

i.e.,

$$n T(r, f) \le T(r, \Phi) - N(r, 0; \Delta_c f) + S(r, f).$$

This completes the Lemma.

Lemma 9. Let f(z) be a transcendental entire function of finite order, $c \in \mathbb{C} \setminus \{0\}$ be finite complex constants and $n \in \mathbb{N}$ such that $n \ge 1$. Then $S(r, f^n(z)\Delta_c f(z)) = S(r, f(z))$, where $\Delta_c f(z) \neq 0$.

Proof. By Lemmas 1 and 6 we have

$$\begin{split} T(r, f^n(z)\Delta_c f(z)) &\leq T(r, f^n(z)) + T(r, \Delta_c f(z)) \\ &\leq T(r, f^n(z)) + T(r, f(z+c)) + T(r, f(z)) + S(r, f(z)) \\ &\leq (n+2) \ T(r, f(z)) + S(r, f(z)). \end{split}$$

This shows that $T(r, f^n(z)\Delta_c f(z)) = O(T(r, f))$. Also by Lemma 8 we have $T(r, f(z)) = O(T(r, f^n(z)\Delta_c f(z)))$. Thus we have

$$S(r, f^{n}(z)\Delta_{c}f(z)) = S(r, f(z)).$$

This completes the proof.

Lemma 10. Let f(z), g(z) be two transcendental entire functions of finite order, $c \in \mathbb{C} \setminus \{0\}$ be finite complex constant such that $\Delta_c f(z) \neq 0$ and $\Delta_c g(z) \neq 0$ and let $n(\geq 1)$ and $k(\geq 1)$ be two integers such that n > 2k + 3. Let $F(z) = [f^n(z)\Delta_c f(z)]^{(k)}$ and $G(z) = [g^n(z)\Delta_c g(z)]^{(k)}$. If $H \equiv 0$, then one of the following conclusions occur

- (i) $[f^{n}(z)\Delta_{c}f(z)]^{(k)}[g^{n}(z)\Delta_{c}g(z)]^{(k)} \equiv 1$, where $[f^{n}(z)\Delta_{c}f(z)]^{(k)}$ and $[g^{n}(z)\Delta_{c}g(z)]^{(k)}$ share 1 *CM*;
- (ii) $f^n(z)\Delta_c f(z) \equiv g^n(z)\Delta_c g(z)$.

Proof. Since $H \equiv 0$, by integration we get

$$\frac{1}{F-1} \equiv \frac{BG+A-B}{G-1},\tag{2.4}$$

where *A*, *B* are constants and $A \neq 0$. From (2.9) it is clear that *F* and *G* share $(1, \infty)$. We now consider following cases.

Case 1. Let $B \neq 0$ and $A \neq B$. If B = -1, then from (2.9) we have

$$F \equiv \frac{-A}{G - A - 1}.$$

Therefore

$$\overline{N}(r, A+1; G) = 0.$$

So in view of Lemmas 3, 8 and the second fundamental theorem we get

$$\begin{split} n \ T(r,g) &\leq T(r,g^{n}\Delta_{c}g) - N(r,0;\Delta_{c}g) + S(r,g) \\ &\leq T(r,G) + N_{k+1}(r,0;g^{n}\Delta_{c}g) - \overline{N}(r,0;G) - N(r,0;\Delta_{c}g) + S(r,g) \\ &\leq \overline{N}(r,0;G) + \overline{N}(r,A+1;G) + N_{k+1}(r,0;g^{n}\Delta_{c}g) - \overline{N}(r,0;G) - N(r,0;\Delta_{c}g) + S(r,g) \\ &\leq N_{k+1}(r,0;g^{n}\Delta_{c}g) - N(r,0;\Delta_{c}g) + S(r,g) \\ &\leq N_{k+1}(r,0;g^{n}) + N_{k+1}(r,0;\Delta_{c}g) - N(r,0;\Delta_{c}g) + S(r,g) \\ &\leq (k+1)\overline{N}(r,0;g) + S(r,g) \\ &\leq (k+1) \ T(r,g) + S(r,g), \end{split}$$

which is a contradiction since n > k + 1.

If $B \neq -1$, from (2.9) we obtain that

$$F - (1 + \frac{1}{B}) \equiv \frac{-A}{B^2 [G + \frac{A-B}{B}]}.$$

So

$$\overline{N}(r,\frac{(B-A)}{B};G)=0.$$

Using Lemmas 3, 8 and the same argument as used in the case when B = -1 we can get a contradiction.

Case 2. Let $B \neq 0$ and A = B. If B = -1, then from (2.9) we have

$$F(z)G(z) \equiv 1,$$

i.e.,

$$[f^n(z)\Delta_c f(z)]^{(k)}[g^n(z)\Delta_c g(z)]^{(k)} \equiv 1.$$

If $B \neq -1$, from (2.9) we have

$$\frac{1}{F} \equiv \frac{BG}{(1+B)G-1}$$

Therefore

$$\overline{N}(r,\frac{1}{1+B};G) = \overline{N}(r,0;F).$$

So in view of Lemmas 3, 5, 8 and the second fundamental theorem we get

$$\begin{split} n \ T(r,g) &\leq T(r,g^{n}\Delta_{c}g) - N(r,0;\Delta_{c}g) + S(r,g) \\ &\leq T(r,G) + N_{k+1}(r,0;g^{n}\Delta_{c}g) - \overline{N}(r,0;G) - N(r,0;\Delta_{c}g) + S(r,g) \\ &\leq \overline{N}(r,0;G) + \overline{N}(r,\frac{1}{1+B};G) + N_{k+1}(r,0;g^{n}\Delta_{c}g) - \overline{N}(r,0;G) - N(r,0;\Delta_{c}g) + S(r,g) \\ &\leq (k+1)\overline{N}(r,0;g) + \overline{N}(r,0;F) + S(r,g) \\ &\leq (k+1)\overline{N}(r,0;g) + (k+1)\overline{N}(r,0;f) + N(r,0;\Delta_{c}f) + S(r,f) + S(r,g) \\ &\leq (k+1)\overline{N}(r,0;g) + (k+1)\overline{N}(r,0;f) + m(r,\Delta_{c}f) + S(r,f) + S(r,g) \\ &\leq (k+1)\overline{N}(r,0;g) + (k+1)\overline{N}(r,0;f) + m(r,\frac{\Delta_{c}f}{f}) + m(r,f) + S(r,f) + S(r,g) \\ &\leq (k+1)\overline{N}(r,0;g) + (k+1)\overline{N}(r,0;f) + m(r,\frac{\Delta_{c}f}{f}) + m(r,f) + S(r,f) + S(r,g) \\ &\leq (k+1)\overline{N}(r,0;g) + (k+2)T(r,f) + S(r,f) + S(r,g). \end{split}$$

Without loss of generality, we suppose that there exists a set *I* with infinite measure such that $T(r, f) \le T(r, g)$ for $r \in I$. So for $r \in I$ we have

$$(n-2k-3) T(r,g) \le S(r,g),$$

which is a contradiction since n > 2k + 3.

Case 3. Let *B* = 0. From (2.9) we obtain

$$F \equiv \frac{G+A-1}{A}.$$
 (2.5)

If $A \neq 1$, then from (2.10) we obtain

$$\overline{N}(r, 1-A; G) = \overline{N}(r, 0; F).$$

We can similarly deduce a contradiction as in Case 2. Therefore A = 1 and from (2.10) we obtain

$$F(z) \equiv G(z),$$

i.e.,

$$[f^{n}(z)\Delta_{c}f(z)]^{(k)} \equiv [g^{n}(z)\Delta_{c}g(z)]^{(k)}.$$
(2.6)

From (2.6) we get

$$f^{n}(z)\Delta_{c}f(z) \equiv g^{n}(z)\Delta_{c}g(z) + p_{1}(z), \qquad (2.7)$$

where $p_1(z)$ is a polynomial of degree at most k - 1. Suppose $p_1(z) \neq 0$. Then from (2.7) we have

$$\frac{f^n \Delta_c f}{p_1} \equiv \frac{g^n \Delta_c g}{p_1} + 1.$$
(2.8)

Now in view of Lemmas 5, 8 and the second fundamental theorem we have

$$\begin{split} n \ T(r,f) &\leq T(r,f^n \Delta_c f) - N(r,0,\Delta_c f) + S(r,f) \\ &\leq T(r,\frac{f^n \Delta_c f}{p_1}) - N(r,0,\Delta_c f) + S(r,f) \\ &\leq \overline{N}(r,0;\frac{f^n \Delta_c f}{p_1}) + \overline{N}(r,\infty;\frac{f^n \Delta_c f}{p_1}) + \overline{N}(r,1;\frac{f^n \Delta_c f}{p_1}) \\ &- N(r,0,\Delta_c f) + S(r,f) \\ &\leq \overline{N}(r,0;f) + \overline{N}(r,0;\Delta_c f) + \overline{N}(r,0;\frac{g^n \Delta_c g}{p_1}) - N(r,0,\Delta_c f) \\ &+ S(r,f) + S(r,g) \\ &\leq \overline{N}(r,0;f) + \overline{N}(r,0;g) + \overline{N}(r,0;\Delta_c g) + S(r,f) + S(r,g) \\ &\leq T(r,f) + T(r,g) + T(r,\Delta_c g) + S(r,f) + S(r,g) \\ &= T(r,f) + T(r,g) + m(r,\frac{\Delta_c g}{g}) + m(r,g) + S(r,f) + S(r,g) \\ &\leq T(r,f) + 2 \ T(r,g) + S(r,f) + S(r,g). \end{split}$$

Similarly we have

$$n T(r,g) \le 2 T(r,f) + T(r,g) + S(r,f) + S(r,g).$$

Therefore we get

$$n \left[T(r, f) + T(r, g) \right] \le 3 \left[T(r, f) + T(r, g) \right] + S(r, f) + S(r, g),$$

which is a contradiction since n > 3. Hence $p_1(z) \equiv 0$ and so from (2.7) we obtain

$$f^n(z)\Delta_c f(z) \equiv g^n(z)\Delta_c g(z).$$

This completes the proof.

Lemma 11. Let f(z), g(z) be two transcendental entire functions of finite order, $c \in \mathbb{C} \setminus \{0\}$ be finite complex constant such that $\Delta_c f(z) \neq 0$ and $\Delta_c g(z) \neq 0$ and let n be an integer such that n > 3. Let $F(z) = \frac{f^n(z)\Delta_c f(z)}{p(z)}$ and $G(z) = \frac{g^n(z)\Delta_c g(z)}{p(z)}$, where p(z) is non-zero polynomial. If g(z), g(z+c) share 0 CM and $H \equiv 0$, then one of the following conclusions occur

- (i) $f^n(z)\Delta_c f(z)g^n(z)\Delta_c g(z) \equiv p^2(z)$, where $f^n(z)\Delta_c f(z) p(z)$ and $g^n(z)\Delta_c g(z) p(z)$ share 0 CM;
- (ii) $f(z) \equiv tg(z)$ for a constant t with $t^{n+1} = 1$.

Proof. Since $H \equiv 0$, by integration we get

$$\frac{1}{F-1} \equiv \frac{BG+A-B}{G-1},$$
(2.9)

where *A*, *B* are constants and $A \neq 0$. From (2.9) it is clear that *F* and *G* share $(1,\infty)$. We now consider following cases.

Case 1. Let $B \neq 0$ and $A \neq B$. If B = -1, then from (2.9) we have

$$F \equiv \frac{-A}{G - A - 1}.$$

Therefore

$$\overline{N}(r, A+1; G) = N(r, 0; p) = S(r, g).$$

So in view of Lemma 8 and the second fundamental theorem we get

$$\begin{split} n \ T(r,g) &\leq T(r,g^n \Delta_c g) - N(r,0;\Delta_c g) + S(r,g) \\ &\leq T(r,G) - N(r,0;\Delta_c g) + S(r,g) \\ &\leq \overline{N}(r,0;G) + \overline{N}(r,A+1;G) - N(r,0;\Delta_c g) + S(r,g) \\ &\leq \overline{N}(r,0;g) + S(r,g) \leq T(r,g) + S(r,g), \end{split}$$

which is a contradiction since n > 1. If $B \neq -1$, from (2.9) we obtain that

$$F - (1 + \frac{1}{B}) \equiv \frac{-A}{B^2 [G + \frac{A-B}{B}]}.$$

So

$$\overline{N}(r,\frac{(B-A)}{B};G) = S(r,g).$$

Using Lemma 8 and the same argument as used in the case when B = -1 we can get a contradiction.

Case 2. Let $B \neq 0$ and A = B. If B = -1, then from (2.9) we have

$$F(z)G(z) \equiv 1,$$

i.e.,

$$f^{n}(z)\Delta_{c}f(z)g^{n}(z)\Delta_{c}g(z) \equiv p^{2}(z),$$

where $f^n(z)\Delta_c f(z) - p(z)$ and $g^n(z)\Delta_c g(z) - p(z)$ share 0 CM. If $B \neq -1$, from (2.9) we have

$$\frac{1}{F} \equiv \frac{BG}{(1+B)G-1}.$$

Therefore

$$\overline{N}(r, \frac{1}{1+B}; G) = \overline{N}(r, 0; F) + S(r, f).$$

So in view of Lemmas 5, 8 and the second fundamental theorem we get

$$\begin{split} n \ T(r,g) &\leq T(r,g^n \Delta_c g) - N(r,0;\Delta_c g) + S(r,g) \\ &\leq T(r,G) - N(r,0;\Delta_c g) + S(r,g) \\ &\leq \overline{N}(r,0;G) + \overline{N}(r,\frac{1}{1+B};G) - N(r,0;\Delta_c g) + S(r,g) \\ &\leq \overline{N}(r,0;g) + \overline{N}(r,0;F) + S(r,g) \\ &\leq \overline{N}(r,0;g) + \overline{N}(r,0;f) + N(r,0;\Delta_c f) + S(r,f) + S(r,g) \\ &\leq \overline{N}(r,0;g) + \overline{N}(r,0;f) + T(r,\Delta_c f) + S(r,f) + S(r,g) \\ &\leq T(r,g) + 2 \ T(r,f) + S(r,f) + S(r,g). \end{split}$$

So for $r \in I$ we have

$$(n-3) T(r,g) \le S(r,g),$$

which is a contradiction since n > 3.

Case 3. Let B = 0. From (2.9) we obtain

$$F \equiv \frac{G+A-1}{A}.$$
(2.10)

If $A \neq 1$, then from (2.10) we obtain

$$\overline{N}(r, 1-A; G) = \overline{N}(r, 0; F).$$

We can similarly deduce a contradiction as in Case 2. Therefore A = 1 and from (2.10) we obtain

$$F(z) \equiv G(z),$$

i.e.,

$$f^n(z)\Delta_c f(z) \equiv g^n(z)\Delta_c g(z).$$

Then by Lemma 7 we have $f(z) \equiv tg(z)$ for a constant *t* with $t^{n+1} = 1$. This completes the proof.

Lemma 12. Let f(z), g(z) be two transcendental entire functions of finite order, $c \in \mathbb{C} \setminus \{0\}$ be finite complex constant such that $\Delta_c f(z) \neq 0$ and $\Delta_c g(z) \neq 0$. Let $n(\geq 1)$ and $k(\geq 1)$ be two integers such that n > k. If

$$\left[f^{n}(z)\Delta_{c}f(z)\right]^{(k)}\left[g^{n}(z)\Delta_{c}g(z)\right]^{(k)}\equiv1,$$

then

$$f(z) = c_1 e^{az}, g(z) = c_2 e^{-az},$$

where a, c_1 and c_2 are non-zero constants such that $(-1)^k (c_1 c_2)^{n+1} [(n+1)a]^{2k} (2-e^{ac}-e^{-ac}) = 1$.

Proof. Suppose

$$\left[f^{n}(z)\Delta_{c}f(z)\right]^{(k)}\left[g^{n}(z)\Delta_{c}g(z)\right]^{(k)} \equiv 1.$$
(2.11)

Since n > k, it follows that f(z) and g(z) have no zeros and so f(z) and g(z) take the form

$$f(z) = e^{\alpha(z)} and g(z) = e^{\beta(z)},$$
 (2.12)

where α and β are non-constant polynomials. Let

$$\alpha_1(z) = n\alpha(z) + \alpha(z+c)$$

and

$$\alpha_2(z) = \alpha(z+c) - \alpha(z)$$

Then by induction we have

$$\left[f^{n}(z)f(z+c)\right]^{(k)} = t_{1}(\alpha_{1}^{'},\alpha_{1}^{''},\ldots,\alpha_{1}^{(k)})(z)e^{\alpha_{1}(z)}$$

and

$$\left[f^{n+1}(z)\right]^{(k)} = t_0(\alpha', \alpha'', \dots, \alpha^{(k)})(z)e^{(n+1)\alpha(z)},$$

where $t_1(\alpha'_1, \alpha''_1, ..., \alpha_1^{(k)})$ and $t_0(\alpha', \alpha'', ..., \alpha^{(k)})$ are differential polynomials in $\alpha'_1, \alpha''_1, ..., \alpha_1^{(k)}$ and $\alpha', \alpha'', ..., \alpha^{(k)}$ respectively. Obviously

$$t_1(\alpha_1', \alpha_1'', \dots, \alpha_1^{(k)}) \neq 0$$

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 \Box

and

$$t_0(\alpha',\alpha'',\ldots,\alpha^{(k)}) \neq 0$$

and $[f^n(z)\Delta_c f(z)]^{(k)} \neq 0$. Clearly $T(r, t_i) = S(r, f)$ for i = 0, 1 and

$$\overline{N}(r,0;t_1(z)e^{\alpha_2(z)} - t_0(z)) = 0.$$
(2.13)

So from (2.13) and using second fundamental theorem for small functions (see [15]), we obtain

$$T(r, e^{\alpha_2}) \leq \overline{N}(r, 0; e^{\alpha_2}) + \overline{N}(r, \infty; e^{\alpha_2}) + \overline{N}(r, 0; t_1 e^{\alpha_2} - t_0) + S(r, f) = S(r, f).$$

This shows that $\alpha_2(z)$ is a constant. Let $\alpha_2(z) \equiv C$, where $C \in \mathbb{C}$. Then $\alpha(z+c) \equiv \alpha(z) + C$ and so deg $(\alpha) = 1$. Similarly we can prove that deg $(\beta) = 1$. Assume now that

$$f(z) = c_1 e^{az}, \ g(z) = c_2 e^{bz},$$

where *a*, *b*, c_1 and c_2 are non-zero constants. Applying (2.11) again we get a = -b and

$$(-1)^{k} (c_{1}c_{2})^{n+1} [(n+1)a]^{2k} (2-e^{ac}-e^{-ac}) = 1.$$

Finally f(z) and g(z) take the form

$$f(z) = c_1 e^{az}, \ g(z) = c_2 e^{-az}$$

where a, c_1 and c_2 are non-zero constants such that $(-1)^k (c_1 c_2)^{n+1} [(n+1)a]^{2k} (2 - e^{ac} - e^{-ac}) = 1$. This completes the proof.

Lemma 13. [14] Let f_i (j = 1, 2, 3) be a meromorphic and f_1 be non-constant. Suppose that

$$\sum_{j=1}^{3} f_j \equiv 1$$

and

$$\sum_{j=1}^3 N(r,0;f_j)+2\sum_{j=1}^3 \overline{N}(r,\infty;f_j)<(\lambda+o(1))T(r),$$

as $r \longrightarrow +\infty$, $r \in I$, $\lambda < 1$ and $T(r) = \max_{1 \le j \le 3} T(r, f_j)$. Then $f_2 \equiv 1$ or $f_3 \equiv 1$.

Lemma 14. Let f(z), g(z) be two transcendental entire functions of finite order, $c \in \mathbb{C} \setminus \{0\}$ be finite complex constant such that $\Delta_c f(z) \neq 0$ and $\Delta_c g(z) \neq 0$ and let n(>1) be an integer. Let p(z) be a non-zero polynomial with $\deg(p) \leq n-1$, $f^n(z)\Delta_c f(z) - p(z)$ and $g^n(z)\Delta_c g(z) - p(z)$ share 0 CM and g(z+c), g(z) share 0 CM. Now

(i) if p(z) is not a constant, then $f^n(z)\Delta_c f(z)g^n(z)\Delta_c g(z) \neq p^2(z)$,

(ii) if p(z) is a non-zero constant d and $f^n(z)\Delta_c f(z)g^n(z)\Delta_c g(z) \equiv p^2(z)$, then

$$f(z) = c_1 e^{az}, g(z) = c_2 e^{-az},$$

where a, c_1 and c_2 are non-zero constants such that $(c_1c_2)^{n+1}(e^{ac}+e^{-ac}-2)=-d^2$.

Proof. Suppose

$$f^{n}(z)\Delta_{c}f(z)g^{n}(z)\Delta_{c}g(z) \equiv p^{2}(z).$$
(2.14)

We consider the following cases:

Case 1: Let deg $(p(z)) = l(\ge 1)$. Since $n \ge 2$, it follows that $N(r, 0; f) = O(\log r)$ and $N(r, 0; g) = O(\log r)$. Let $f_n^n(z) \land f(z) \qquad g_n^n(z) \land g(z)$

$$F_1(z) = \frac{f''(z)\Delta_c f(z)}{p(z)} \quad and \quad G_1(z) = \frac{g''(z)\Delta_c g(z)}{p(z)}.$$
(2.15)

From (2.14) we get

$$F_1 G_1 \equiv 1. \tag{2.16}$$

If $F_1 \equiv cG_1$, where *c* is a nonzero constant, then by (2.16), F_1 is a constant and so *f* is a polynomial, which contradicts our assumption. Hence $F_1 \neq G_1$. Let

$$\Phi(z) = \frac{f^n(z)\Delta_c f(z) - p(z)}{g^n(z)\Delta_c g(z) - p(z)}.$$
(2.17)

We deduce from (2.17) that

$$\Phi \equiv e^{\beta^*},\tag{2.18}$$

where β^* is a polynomial.

Let $f_1 = F_1$, $f_2 = -e^{\beta^*}G_1$ and $f_3 = e^{\beta^*}$. Here f_1 is transcendental. Now from (2.18), we have

$$f_1 + f_2 + f_3 \equiv 1.$$

Clearly $T(r, F_1) = T(r, G_1) + O(1)$ and so by Lemma 9 we have S(r, f) = S(r, g). Also $T(r, f_3) \le 2 T(r, F_1) + S(r, f)$ and so $S(r, f_3)$ can be replaced by S(r, f). Since g(z) and g(z+c) share 0 CM, it follows that $N(r, \infty; \frac{\Delta_c g}{g}) = 0$.

Note that

$$\begin{split} N(r,0;f_1) &= N(r,0;F_1) \leq N(r,0;\Delta_c f(z)) + O(\log r) \\ &\leq T(r,\Delta_c f) + S(r,f) = T(r,f) + S(r,f) \end{split}$$

and

$$N(r,0;f_2) = N(r,0;G_1) = N(r,0;g^{n+1}\frac{\Delta_c g}{g}) \le N(r,0;\frac{\Delta_c g}{g}) + O(\log r)$$

$$\leq T(r, \frac{\Delta_c g}{g}) + S(r, g) = m(r, \frac{\Delta_c g}{g}) + S(r, g) = S(r, g).$$

Hence by Lemma 8 we get

$$\begin{split} \sum_{j=1}^{3} N(r,0;f_j) + 2 \sum_{j=1}^{3} \overline{N}(r,\infty;f_j) &\leq N(r,0;F_1) + N(r,0;e^{\beta^*}G_1) + O(\log r) \\ &\leq T(r,f) + S(r,f) \leq \frac{1}{n} T(r,f_1) + S(r,f_1) \\ &\leq (\lambda + o(1))T(r), \end{split}$$

as $r \to +\infty$, $r \in I$, $\lambda = \frac{1}{n} < 1$ and $T(r) = \max_{1 \le j \le 3} T(r, f_j)$. So by Lemma 13, we get either $e^{\beta^*}G_1 \equiv -1$ or $e^{\beta^*} \equiv 1$. But here the only possibility is that $e^{\beta^*}G_1 \equiv -1$, i.e., $g^n(z)\Delta_c g(z) \equiv -e^{-\beta^*(z)}p(z)$ and so from (2.14) we obtain

$$F_1 \equiv e^{\gamma_1^*} G_1$$

i.e.,

$$f^{n}(z)\Delta_{c}f(z) \equiv e^{\gamma_{1}^{*}(z)}g^{n}(z)\Delta_{c}g(z),$$

where γ_1^* is a non-constant polynomial. Now from (2.14) we get

$$f^{n}(z)\Delta_{c}f(z) \equiv ce^{\frac{1}{2}\gamma_{1}^{*}(z)}p(z), \quad g^{n}(z)\Delta_{c}g(z) \equiv ce^{-\frac{1}{2}\gamma_{1}^{*}(z)}p(z),$$
(2.19)

where $c = \pm 1$.

Since $N(r,0; f) = O(\log r)$ and $N(r,0; g) = O(\log r)$, so we can take

$$f(z) = h_1(z)e^{\alpha_1(z)}, \quad g(z) = h_2(z)e^{\beta_1(z)}, \tag{2.20}$$

where h_1 and h_2 are non-zero polynomials and α_1 , β_1 are two non-constant polynomials.

Since deg(p) $\leq n-1$, from (2.19) and (2.20) we conclude that both h_1 and h_2 are non-zero constants.

So we can rewrite *f* and *g* as follows:

$$f(z) = e^{\alpha(z)}, \quad g(z) = e^{\beta(z)},$$
 (2.21)

where α and β are non-constant polynomials.

Now from (2.14) we have

$$(e^{\alpha(z+c)-\alpha(z)}-1)(e^{\beta(z+c)-\beta(z)}-1) \equiv p^2(z)e^{-(n+1)[\alpha(z)+\beta(z)]}$$

Note that

$$N(r, 1; e^{\alpha(z+c)-\alpha(z)}) = O(\log r)$$
 and $N(r, 1; e^{\beta(z+c)-\beta(z)}) = O(\log r)$.

Let

$$\phi(z) = e^{\alpha(z+c)-\alpha(z)}$$
 and $\psi(z) = e^{\beta(z+c)-\beta(z)}$

Clearly either both $\phi(z)$ and $\psi(z)$ are constants or both are transcendental entire functions. Suppose $\phi(z) \neq \text{constant}$ and $\psi(z) \neq \text{constant}$. Now by second fundamental theorem we have

$$\begin{split} T(r,\phi) &\leq \overline{N}(r,0;\phi) + \overline{N}(r,\infty;\phi) + \overline{N}(r,1;\phi) + S(r,\phi) \\ &\leq O(\log r) + S(r,\phi) = S(r,\phi), \end{split}$$

which is a contradiction. Therefore $\phi(z) \equiv \text{constant}$. Similarly we can prove that $\psi(z) \equiv \text{constant}$. Hence $p^2(z)e^{-(n+1)[\alpha(z)+\beta(z)]} \equiv \text{constant}$, which is impossible.

Case 2: Let p(z) be a non-zero constant d.

In this case we see that f(z) and g(z) have no zeros and so we can take f(z) and g(z) as follows:

$$f(z) = e^{\alpha(z)}, \quad g(z) = e^{\beta(z)},$$
 (2.22)

where α and β are non-constant polynomials. Now from (2.14) we get

$$(e^{\alpha(z+c)-\alpha(z)}-1)(e^{\beta(z+c)-\beta(z)}-1) \equiv d^2 e^{-(n+1)[\alpha(z)+\beta(z)]}.$$
(2.23)

We conclude from (2.23) that $e^{\alpha(z+c)-\alpha(z)} - 1$ has no zeros. Let $\phi(z) = e^{\alpha(z+c)-\alpha(z)}$. Then $\phi(z) \neq 0, 1, \infty$ for any $z \in \mathbb{C}$. By Picard's theorem, ϕ is a constant and so deg(α) = 1. Similarly we can prove that deg(β) = 1. Assume now that

$$f(z) = c_1 e^{az}, g(z) = c_2 e^{bz},$$

where *a*, *b*, c_1 and c_2 are non-zero constants. Applying (2.14) again we get a = -b and

$$(c_1c_2)^{n+1}(e^{ac}+e^{-ac}-2)=-d^2.$$

Finally f(z) and g(z) take the form

$$f(z) = c_1 e^{az}, g(z) = c_2 e^{-az},$$

where *a*, c_1 and c_2 are non-zero constants such that $(c_1c_2)^{n+1}(e^{ac} + e^{-ac} - 2) = -d^2$. This completes the proof.

Lemma 15 ([1]). If f, g be two non-constant meromorphic functions such that they share (1, 1). Then

$$2\overline{N}_L(r,1;f) + 2\overline{N}_L(r,1;g) + \overline{N}_E^{(2)}(r,1;f) - \overline{N}_{f>2}(r,1;g) \le N(r,1;g) - \overline{N}(r,1;g).$$

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Lemma 16 ([2]). *Let f*, *g share* (1, 1). *Then*

$$\overline{N}_{f>2}(r,1;g) \le \frac{1}{2}\overline{N}(r,0;f) + \frac{1}{2}\overline{N}(r,\infty;f) - \frac{1}{2}N_0(r,0;f') + S(r,f)$$

where $N_0(r, 0; f')$ is the counting function of those zeros of f' which are not the zeros of f(f-1).

Lemma 17 ([2]). Let f and g be two non-constant meromorphic functions sharing (1,0). Then

$$\overline{N}_L(r,1;f) + 2\overline{N}_L(r,1;g) + \overline{N}_E^{(2)}(r,1;f) - \overline{N}_{f>1}(r,1;g) - \overline{N}_{g>1}(r,1;f) \le N(r,1;g) - \overline{N}(r,1;g)$$

Lemma 18 ([2]). *Let f, g share* (1,0). *Then*

$$\overline{N}_{L}(r,1;f) \leq \overline{N}(r,0;f) + \overline{N}(r,\infty;f) + S(r,f)$$

Lemma 19 ([2]). *Let f*, g *share* (1,0). *Then*

- (i) $\overline{N}_{f>1}(r, 1; g) \leq \overline{N}(r, 0; f) + \overline{N}(r, \infty; f) N_0(r, 0; f') + S(r, f)$
- (ii) $\overline{N}_{g>1}(r,1;f) \leq \overline{N}(r,0;g) + \overline{N}(r,\infty;g) N_0(r,0;g') + S(r,g).$

3. Proofs of the Theorems

Proof of Theorem 1. Let $F(z) = [f^n(z)\Delta_c f(z)]^{(k)}$. In view of Lemmas 3, 8 and by the second theorem for small functions (see [15]) we get

$$\begin{split} n \ T(r,f) &\leq T(r,f^{n}\Delta_{c}f) - N(r,0;\Delta_{c}f) + S(r,f) \\ &\leq T(r,F) + N_{k+1}(r,0;f^{n}\Delta_{c}f) - \overline{N}(r,0;F) - N(r,0;\Delta_{c}f) + S(r,f) \\ &\leq \overline{N}(r,0;F) + \overline{N}(r,\alpha(z);F) + N_{k+1}(r,0;f^{n}\Delta_{c}f) - \overline{N}(r,0;F) - N(r,0;\Delta_{c}f) \\ &\quad + (\varepsilon + o(1)) \ T(r,f) \\ &\leq (k+1) \ \overline{N}(r,0;f) + N(r,0;\Delta_{c}f) + \overline{N}(r,\alpha(z);F) - N(r,0;\Delta_{c}f) \\ &\quad + (\varepsilon + o(1)) \ T(r,f) \\ &\leq (k+1) \ T(r,f) + \overline{N}(r,\alpha(z);F) + (\varepsilon + o(1)) \ T(r,f), \end{split}$$
(3.1)

for all $\varepsilon > 0$. Take $\varepsilon < 1$. Since $n \ge k + 2$, from above one can easily say that $F(z) - \alpha(z)$ has infinitely many zeros. This completes the proof.

Proof of Theorem 2. Let $F(z) = [f^n(z)\Delta_c f(z)]^{(k)}$ and $G(z) = [g^n(z)\Delta_c g(z)]^{(k)}$. It follows that F and G share $(1, k_1)$.

Case 1. Let $H \neq 0$.

Subcase 1.1. $k_1 \ge 1$.

From (2.1) it can be easily calculated that the possible poles of *H* occur at (i) multiple zeros of *F* and *G*, (ii) those 1 points of *F* and *G* whose multiplicities are different, (iii) zeros of F'(G') which are not the zeros of F(F-1)(G(G-1)).

Since H has only simple poles we get

$$N(r,\infty;H) \le \overline{N}_*(r,1;F,G) + \overline{N}(r,0;F| \ge 2) + \overline{N}(r,0;G| \ge 2)$$

$$(3.2)$$

$$+\overline{N}_{0}(r,0;F')+\overline{N}_{0}(r,0;G'), \qquad (3.3)$$

where $\overline{N}_0(r, 0; F')$ is the reduced counting function of those zeros of F' which are not the zeros of F(F-1) and $\overline{N}_0(r, 0; G')$ is similarly defined.

Let z_0 be a simple zero of F - 1. Then z_0 is a simple zero of G - 1 and a zero of H. So

$$N(r,1;F|=1) \le N(r,0;H) \le N(r,\infty;H) + S(r,f) + S(r,g).$$
(3.4)

While $k_1 \ge 2$, using (3.3) and (3.4) we get

$$\begin{split} N(r,1;F) &\leq N(r,1;F|=1) + N(r,1;F| \geq 2) \\ &\leq \overline{N}(r,0;F| \geq 2) + \overline{N}(r,0;G| \geq 2) + \overline{N}_*(r,1;F,G) \\ &\quad + \overline{N}(r,1;F| \geq 2) + \overline{N}_0(r,0;F^{'}) + \overline{N}_0(r,0;G^{'}) + S(r,f) + S(r,g). \end{split}$$
(3.5)

Now in view of Lemma 4 we get

$$\overline{N}_{0}(r,0;G^{'}) + \overline{N}(r,1;F|\geq 2) + \overline{N}_{*}(r,1;F,G)
\leq \overline{N}_{0}(r,0;G^{'}) + \overline{N}(r,1;F|\geq 2) + \overline{N}(r,1;F|\geq 3)
= \overline{N}_{0}(r,0;G^{'}) + \overline{N}(r,1;G|\geq 2) + \overline{N}(r,1;G|\geq 3)
\leq \overline{N}_{0}(r,0;G^{'}) + N(r,1;G) - \overline{N}(r,1;G)
\leq N(r,0;G^{'}|G\neq 0) \leq \overline{N}(r,0;G) + S(r,g),$$
(3.6)

Hence using (3.5), (3.22), Lemmas 3, 5 and 8 we get from second fundamental theorem that

$$\begin{split} n \ T(r,f) &\leq T(r,f^{n}\Delta_{c}f) - N(r,0;\Delta_{c}f) + S(r,f) \\ &\leq T(r,F) + N_{k+2}(r,0;f^{n}\Delta_{c}f) - N_{2}(r,0;F) - N(r,0;\Delta_{c}f) + S(r,f) \\ &\leq \overline{N}(r,0;F) + \overline{N}(r,1;F) + N_{k+2}(r,0;f^{n}\Delta_{c}f) - N(r,0;\Delta_{c}f) \\ &\quad -N_{2}(r,0;F) - N_{0}(r,0;F^{'}) + S(r,f) \\ &\leq \overline{N}(r,0;F) + N_{k+2}(r,0;f^{n}\Delta_{c}f) + \overline{N}(r,0;F| \geq 2) + \overline{N}(r,0;G| \geq 2) + \overline{N}(r,1;F| \geq 2) \\ &\quad + \overline{N}_{*}(r,1;F,G) + \overline{N}_{0}(r,0;G^{'}) - N(r,0;\Delta_{c}f) - N_{2}(r,0;F) + S(r,f) + S(r,g) \end{split}$$

$$\leq N_{k+2}(r,0;f^{n}\Delta_{c}f) + N_{2}(r,0;G) - N(r,0;\Delta_{c}f) + S(r,f) + S(r,g)$$

$$\leq N_{k+2}(r,0;f^{n}\Delta_{c}f) + N_{k+2}(r,0;g^{n}\Delta_{c}g) - N(r,0;\Delta_{c}f) + S(r,f) + S(r,g)$$

$$\leq (k+2)\overline{N}(r,0;f) + N(r,0;\Delta_{c}f) + (k+2)\overline{N}(r,0;g) + N(r,0;\Delta_{c}g)$$

$$-N(r,0;\Delta_{c}f) + S(r,f) + S(r,g)$$

$$\leq (k+2)T(r,f) + (k+2)T(r,g) + T(r,\Delta_{c}g) + S(r,f) + S(r,g)$$

$$\leq (k+2)T(r,f) + (k+2)T(r,g) + m(r,\Delta_{c}g) + S(r,f) + S(r,g)$$

$$\leq (k+2)T(r,f) + (k+2)T(r,g) + m(r,\frac{\Delta_{c}g}{g}) + m(r,g) + S(r,f) + S(r,g)$$

$$\leq (k+2)T(r,f) + (k+3)T(r,g) + S(r,f) + S(r,g)$$

$$\leq (2k+5)T(r) + S(r).$$
(3.7)

In a similar way we can obtain

$$n T(r,g) \le (2k+5) T(r) + S(r).$$
 (3.8)

Combining (3.7) and (3.8) we see that

$$n T(r) \le (2k+5) T(r) + S(r),$$

i.e.,

$$(n-2k-5) T(r) \le S(r).$$
 (3.9)

Since n > 2k + 5, (3.9) leads to a contradiction. While $k_1 = 1$, using Lemmas 4, 15, 16, (3.3) and (3.4) we get

$$\begin{split} \overline{N}(r,1;F) &\leq N(r,1;F|=1) + \overline{N}_{L}(r,1;F) + \overline{N}_{L}(r,1;G) + \overline{N}_{E}^{(2)}(r,1;F) \\ &\leq \overline{N}(r,0;F|\geq 2) + \overline{N}(r,0;G|\geq 2) + \overline{N}_{*}(r,1;F,G) + \overline{N}_{L}(r,1;F) + \overline{N}_{L}(r,1;G) \\ &\quad + \overline{N}_{E}^{(2)}(r,1;F) + \overline{N}_{0}(r,0;F') + \overline{N}_{0}(r,0;G') + S(r,f) + S(r,g) \\ &\leq \overline{N}(r,0;F|\geq 2) + \overline{N}(r,0;G|\geq 2) + 2\overline{N}_{L}(r,1;F) + 2\overline{N}_{L}(r,1;G) + \overline{N}_{E}^{(2)}(r,1;F) \\ &\quad + \overline{N}_{0}(r,0;F') + \overline{N}_{0}(r,0;G') + S(r,f) + S(r,g) \\ &\leq \overline{N}(r,0;F|\geq 2) + \overline{N}(r,0;G|\geq 2) + \overline{N}_{F>2}(r,1;G) + N(r,1;G) - \overline{N}(r,1;G) \\ &\quad + \overline{N}_{0}(r,0;F') + \overline{N}_{0}(r,0;G') + S(r,f) + S(r,g) \\ &\leq \overline{N}(r,0;F|\geq 2) + \frac{1}{2}\overline{N}(r,0;F) + \overline{N}(r,0;G|\geq 2) + N(r,1;G) - \overline{N}(r,1;G) \\ &\quad + \overline{N}_{0}(r,0;G') + \overline{N}_{0}(r,0;F') + S(r,f) + S(r,g) \\ &\leq \overline{N}(r,0;F|\geq 2) + \frac{1}{2}\overline{N}(r,0;F) + \overline{N}(r,0;G|\geq 2) + N(r,0;G'|G\neq 0) + \overline{N}_{0}(r,0;F') \\ &\quad + S(r,f) + S(r,g) \\ &\leq \overline{N}(r,0;F|\geq 2) + \frac{1}{2}\overline{N}(r,0;F) + N_{2}(r,0;G) + \overline{N}_{0}(r,0;F') + S(r,f) + S(r,g). \quad (3.10) \end{split}$$

Hence using (3.10), Lemmas 3, 5 and 8 we get from second fundamental theorem that

$$\begin{split} n \, T(r,f) &\leq T(r,f^{n}\Delta_{c}f) - N(r,0;\Delta_{c}f) + S(r,f) \\ &\leq T(r,F) + N_{k+2}(r,0;f^{n}\Delta_{c}f) - N_{2}(r,0;F) - N(r,0;\Delta_{c}f) + S(r,f) \\ &\leq \overline{N}(r,0;F) + \overline{N}(r,1;F) + N_{k+2}(r,0;f^{n}\Delta_{c}f) - N_{2}(r,0;F) - N_{0}(r,0;F') \\ &- N(r,0;\Delta_{c}f) + S(r,f) \\ &\leq N_{2}(r,0;F) + \frac{1}{2}\overline{N}(r,0;F) + N_{k+2}(r,0;f^{n}\Delta_{c}f) + N_{2}(r,0;G) - N_{2}(r,0;F) \\ &- N(r,0;\Delta_{c}f) + S(r,f) + S(r,g) \\ &\leq N_{k+2}(r,0;f^{n}\Delta_{c}f) + \frac{1}{2}\overline{N}(r,0;F) + N_{2}(r,0;G) - N(r,0;\Delta_{c}f) + S(r,f) + S(r,g) \\ &\leq N_{k+2}(r,0;f^{n}\Delta_{c}f) + N_{k+2}(r,0;g^{n}\Delta_{c}g) + \frac{1}{2}N_{k+1}(r,0;f^{n}\Delta_{c}) \\ &- N(r,0;\Delta_{c}f) + S(r,f) + S(r,g) \\ &\leq \frac{3k+5}{2}\overline{N}(r,0;f) + \frac{1}{2}N(r,0;\Delta_{c}f) + (k+2)\overline{N}(r,0;g) + N(r,0;\Delta_{c}g) + S(r,f) + S(r,g) \\ &\leq \frac{3k+6}{2}T(r,f) + (k+3)T(r,g) + S(r,f) + S(r,g) \\ &\leq (\frac{5k}{2}+6)T(r) + S(r). \end{split}$$

$$(3.11)$$

In a similar way we can obtain

$$n T(r,g) \le \left(\frac{5k}{2} + 6\right) T(r) + S(r).$$
 (3.12)

Combining (3.11) and (3.12) we see that

$$\left(n - \frac{5k}{2} - 6\right) T(r) \le S(r).$$
 (3.13)

Since $n > \frac{5k}{2} + 6$, (3.13) leads to a contradiction.

Subcase 1.2. $k_1 = 0$. Here (3.4) changes to

$$N_E^{(1)}(r,1;F \mid = 1) \le N(r,0;H) \le N(r,\infty;H) + S(r,F) + S(r,G).$$
(3.14)

Using Lemmas 4, 17, 18, 19, (3.3) and (3.14) we get

$$\begin{split} \overline{N}(r,1;F) &\leq N_E^{(1)}(r,1;F) + \overline{N}_L(r,1;F) + \overline{N}_L(r,1;G) + \overline{N}_E^{(2)}(r,1;F) \\ &\leq \overline{N}(r,0;F| \geq 2) + \overline{N}(r,0;G| \geq 2) + \overline{N}_*(r,1;F,G) + \overline{N}_L(r,1;F) + \overline{N}_L(r,1;G) \\ &\quad + \overline{N}_E^{(2)}(r,1;F) + \overline{N}_0(r,0;F^{'}) + \overline{N}_0(r,0;G^{'}) + S(r,f) + S(r,g) \\ &\leq \overline{N}(r,0;F| \geq 2) + \overline{N}(r,0;G| \geq 2) + 2\overline{N}_L(r,1;F) + 2\overline{N}_L(r,1;G) + \overline{N}_E^{(2)}(r,1;F) \\ &\quad + \overline{N}_0(r,0;F^{'}) + \overline{N}_0(r,0;G^{'}) + S(r,f) + S(r,g) \end{split}$$

$$\leq \overline{N}(r,0;F| \geq 2) + \overline{N}(r,0;G| \geq 2) + \overline{N}_{F>1}(r,1;G) + \overline{N}_{G>1}(r,1;F) + \overline{N}_L(r,1;F) + N(r,1;G) - \overline{N}(r,1;G) + \overline{N}_0(r,0;F') + \overline{N}_0(r,0;G') + S(r,f) + S(r,g)$$

$$\leq N_2(r,0;F) + \overline{N}(r,0;F) + N_2(r,0;G) + N(r,1;G) - \overline{N}(r,1;G) + \overline{N}_0(r,0;G') + \overline{N}_0(r,0;F') + S(r,f) + S(r,g)$$

$$\leq N_2(r,0;F) + \overline{N}(r,0;F) + N_2(r,0;G) + N(r,0;G'|G \neq 0) + \overline{N}_0(r,0;F') + S(r,f) + S(r,g)$$

$$\leq N_2(r,0;F) + \overline{N}(r,0;F) + N_2(r,0;G) + \overline{N}(r,0;G) + \overline{N}_0(r,0;F') + S(r,f) + S(r,g)$$

$$\leq N_2(r,0;F) + \overline{N}(r,0;F) + N_2(r,0;G) + \overline{N}(r,0;G) + \overline{N}_0(r,0;F') + S(r,f) + S(r,g)$$

$$\leq N_2(r,0;F) + \overline{N}(r,0;F) + N_2(r,0;G) + \overline{N}(r,0;G) + \overline{N}_0(r,0;F') + S(r,f) + S(r,g)$$

$$\leq N_2(r,0;F) + \overline{N}(r,0;F) + N_2(r,0;G) + \overline{N}(r,0;G) + \overline{N}_0(r,0;F') + S(r,f) + S(r,g)$$

$$\leq N_2(r,0;F) + \overline{N}(r,0;F) + N_2(r,0;G) + \overline{N}(r,0;G) + \overline{N}_0(r,0;F') + S(r,f) + S(r,g).$$

$$(3.15)$$

Hence using (3.15), Lemmas 3, 5 and 8 we get from second fundamental theorem that

$$\begin{split} n \, T(r,f) &\leq T(r,f^{n}\Delta_{c}f) - N(r,0;\Delta_{c}f) + S(r,f) \\ &\leq T(r,F) + N_{k+2}(r,0;f^{n}\Delta_{c}f) - N_{2}(r,0;F) + S(r,f) \\ &\leq \overline{N}(r,0;F) + \overline{N}(r,1;F) + N_{k+2}(r,0;f^{n}\Delta_{c}f) - N_{2}(r,0;F) - N_{0}(r,0;F') \\ &- N(r,0;\Delta_{c}f) + S(r,f) \\ &\leq N_{2}(r,0;F) + 2\,\overline{N}(r,0;F) + N_{k+2}(r,0;f^{n}\Delta_{c}f) \\ &+ N_{2}(r,0;G) + \overline{N}(r,0;G) - N_{2}(r,0;F) - N(r,0;\Delta_{c}f) + S(r,f) + S(r,g) \\ &\leq N_{k+2}(r,0;f^{n}\Delta_{c}f) + 2\,\overline{N}(r,0;F) + N_{2}(r,0;G) \\ &+ \overline{N}(r,0;G) - N(r,0;\Delta_{c}f) + S(r,f) + S(r,g) \\ &\leq N_{k+2}(r,0;f^{n}\Delta_{c}f) + 2\,N_{k+1}(r,0;f^{n}\Delta_{c}f) + N_{k+2}(r,0;g^{n}\Delta_{c}g) + N_{k+1}(r,0;g^{n}\Delta_{c}g) \\ &- N(r,0;\Delta_{c}f) + S(r,f) + S(r,g) \\ &\leq (3k+4)\overline{N}(r,0;f) + 2\,N(r,0;\Delta_{c}f) + (2k+3)\,\overline{N}(r,0;g) + 2N(r,0;\Delta_{c}g) + S(r,f) + S(r,g) \\ &\leq (3k+6)\,T(r,f) + (2k+5)\,T(r,g) + S(r,f) + S(r,g) \\ &\leq (5k+11)\,T(r) + S(r). \end{split}$$

In a similar way we can obtain

$$n T(r,g) \le (5k+11) T(r) + S(r).$$
 (3.17)

Combining (3.16) and (3.17) we see that

$$(n-5k-11) \ T(r) \le S(r). \tag{3.18}$$

Since n > 5k + 11, (3.18) leads to a contradiction.

Case 2. Let $H \equiv 0$. Then the theorem follows from Lemmas 10 and 12. This completes the proof.

Proof of Theorem 3. Let $F(z) = \frac{f^n(z)\Delta_c f(z)}{p(z)}$ and $G(z) = \frac{g^n(z)\Delta_c g(z)}{p(z)}$. It follows that *F* and *G* share (1,2) except for the zeros of p(z).

Case 1. Let $H \neq 0$. From (2.1) we obtain

$$N(r,\infty;H) \le \overline{N}_{*}(r,1;F,G) + \overline{N}(r,0;F| \ge 2) + \overline{N}(r,0;G| \ge 2) + \overline{N}_{0}(r,0;F^{'}) + \overline{N}_{0}(r,0;G^{'}).$$
(3.19)

Let z_0 be a simple zero of F - 1 such that $p(z_0) \neq 0$. Then z_0 is a simple zero of G - 1 and a zero of H. So

$$N(r, 1; F| = 1) \le N(r, 0; H) \le N(r, \infty; H) + S(r, f) + S(r, g).$$
(3.20)

Using (3.19) and (3.20) we get

$$\overline{N}(r,1;F) \leq \overline{N}(r,0;F| \geq 2) + \overline{N}(r,0;G| \geq 2) + \overline{N}_{*}(r,1;F,G) + \overline{N}(r,1;F| \geq 2) + \overline{N}_{0}(r,0;F') + \overline{N}_{0}(r,0;G') + S(r,f) + S(r,g).$$
(3.21)

Now in view of Lemma 4 we get

$$\overline{N}_{0}(r,0;G^{'}) + \overline{N}(r,1;F|\geq 2) + \overline{N}_{*}(r,1;F,G) \leq \overline{N}_{0}(r,0;G^{'}) + \overline{N}(r,1;F|\geq 2) + \overline{N}(r,1;F|\geq 3)$$

$$\leq N(r,0;G^{'}|G\neq 0) \leq \overline{N}(r,0;G) + S(r,g). \quad (3.22)$$

Note that since g(z) and g(z + c) share 0 CM, it follows that $N(r, \infty; \frac{\Delta_c g}{g}) = 0$. Hence using (3.5), (3.22), Lemmas 5 and 8 we get from second fundamental theorem that

$$n T(r, f) \leq T(r, F) - N(r, 0; \Delta_c f) + S(r, f)$$

$$\leq \overline{N}(r, 0; F) + \overline{N}(r, 1; F) - N_0(r, 0; F') - N(r, 0; \Delta_c f) + S(r, f)$$

$$\leq N_2(r, 0; F) + N_2(r, 0; G) - N(r, 0; \Delta_c f) + S(r, f) + S(r, g)$$

$$\leq N_2(r, 0; f^n \Delta_c f) + N_2(r, 0; g^n \Delta_c g) - N(r, 0; \Delta_c f) + S(r, f) + S(r, g)$$

$$\leq 2 \overline{N}(r, 0; f) + N(r, 0; \Delta_c f) + N_2(r, 0; g^{n+1} \frac{\Delta_c g}{g}) - N(r, 0; \Delta_c f) + S(r, f) + S(r, g)$$

$$\leq 2 \overline{N}(r, 0; f) + N_2(r, 0; g^{n+1}) + N_2(r, 0; \frac{\Delta_c g}{g}) + S(r, f) + S(r, g)$$

$$\leq 2 T(r, f) + 2 T(r, g) + T(r, \frac{\Delta_c g}{g}) + S(r, f) + S(r, g)$$

$$\leq 2 T(r, f) + 2 T(r, g) + m(r, \frac{\Delta_c g}{g}) + S(r, f) + S(r, g)$$

$$\leq 2 T(r, f) + 2 T(r, g) + S(r, f) + S(r, g).$$
(3.23)

By Lemma 1

$$(n+1) T(r,g) = T(r,g^{n+1}) = m(r,g^{n+1}) \le m(r,\frac{g^{n+1}}{G}) + m(r,G)$$

$$\leq m(r, \frac{g}{\Delta_c g}) + T(r, G) + O(\log r)$$

$$\leq T(r, \frac{\Delta_c g}{g}) + T(r, G) + S(r, g)$$

$$= m(r, \frac{\Delta_c g}{g}) + T(r, G) + S(r, g) = T(r, G) + S(r, g)$$

In a similar way we can obtain

$$\begin{aligned} &(n+1) \ T(r,g) \leq T(r,G) + S(r,g) \\ &\leq \overline{N}(r,0;G) + \overline{N}(r,1;G) - N_0(r,0;G^{'}) + S(r,f) \\ &\leq N_2(r,0;G) + N_2(r,0;F) + S(r,f) + S(r,g) \\ &\leq N_2(r,0;g^{n+1}\frac{\Delta_c g}{g}) + N_2(r,0;f^n\Delta_c f) + S(r,f) + S(r,g) \\ &\leq 2 \ \overline{N}(r,0;g) + 2 \ \overline{N}(r,0;f) + N(r,0;\Delta_c f) + S(r,f) + S(r,g) \\ &\leq 2 \ T(r,f) + 2 \ T(r,g) + T(r,\Delta_c f) + S(r,f) + S(r,g) \\ &\leq 2 \ T(r,f) + 2 \ T(r,g) + m(r,\frac{\Delta_c f}{f}) + m(r,f) + S(r,f) + S(r,g) \\ &\leq 3 \ T(r,f) + 2 \ T(r,g) + S(r,f) + S(r,g). \end{aligned}$$
(3.24)

Combining (3.23) and (3.24) we see that

$$(n-5) T(r,f) + (n-4) T(r,g) \le S(r,f) + S(r,g).$$
(3.25)

Since $n \ge 5$, (3.25) leads to a contradiction.

Case 2. Let $H \equiv 0$. Then the theorem follows from Lemmas 11, 7 and 14. This completes the proof.

Acknowledgement

This research work is supported by the Council Of Scientific and Industrial Research, Extramural Research Division, CSIR Complex, Pusa, New Delhi-110012, India, under the sanction project no. 25 (0229)/14/EMR-II.

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