EXISTENCE OF SOLUTIONS OF SECOND ORDER NEUTRAL FUNCTIONAL DIFFERENTIAL EQUATIONS

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Abstract. Sufficient conditions for existence of mild solutions for second order neutral functional differential equations are established by using the theory of strongly continuous cosine families and the Schaefer theorem.

1. Introduction

In many cases it is advantageous to treat the second order abstract differential equations directly rather than to convert them to first order equations. A useful machinery for the study of abstract second order differential equations is the theory of strongly continuous cosine families. We will make use of some of the basic ideas from cosine family theory [8,9]. Motivation for second order systems can be found in [1,3,4]. Recently, Ntouyas [5] and Ntouyas and Tsamatos [6] established the existence of solutions for semilinear second order delay differential equations using the Schaefer theorem. The purpose of this paper is to study the existence of mild solutions for second order neutral functional differential equations using the Schaefer theorem.

2. Premilinaries

Consider the second order neutral functional differential equation of the form

$$\frac{d}{dt}[x'(t) - g(t, x_t)] = Ax(t) + f(t, x_t, x'(t)), \quad t \in J = (0, T],$$

$$x_0 = \phi, \qquad x'(0) = y_0, \tag{1}$$

where the state $x(\cdot)$ takes values in a Banach space $X, y_0 \in X, A$ is the infinitesimal generator of the strongly continuous cosine family $C(t), t \in R$, of bounded linear operators in $X, f: J \times C \times X \to X$ and $g: J \times C \to X$ are given functions and $\phi \in C$.

Here C = C([-r, 0], X) is the Banach space of all continuous functions $\phi : [-r, 0] \to X$ endowed with the sup-norm.

$$\|\phi\| = \sup\{|\phi(\theta)| : -r \le \theta \le 0\}.$$

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Also for $x \in C([-r, T], X)$ we have $x_t \in C$ for $t \in J$, $x_t(\theta) = x(t + \theta)$ for $\theta \in [-r, 0]$.

Definition 1.[8] A one parameter family $C(t), t \in R$, of bounded linear operators in the Banach space X is called a strongly continuous cosine family iff

- (i) C(s+t) + C(s-t) = 2C(s)C(t) for all $s, t \in R$;
- (ii) C(0) = I;

(iii) C(t)x is continuous in t on R for each fixed $x \in X$.

Define the associated sine family $S(t), t \in R$, by

$$S(t)x = \int_0^t C(s)xds, \quad x \in X, t \in R.$$

Assume the following conditions on A.

 (H_1) A is the infinitesimal generator of a strongly continuous cosine family $C(t), t \in R$, of bounded linear operators from X into itself and the adjoint operator A^* is densely defined i.e. $D(A^*) = X^*$ (See [2].)

The infinitesimal generator of a strongly continuous cosine family $C(t), t \in R$, is the operator $A: X \to X$ defined by

$$Ax = \frac{d^2}{dt^2}C(t)x\Big|_{t=0}, \quad x \in D(A),$$

where $D(A) = \{x \in X : C(t)x \text{ is twice continuously differentiable in } t\}.$ Define $E = \{x \in X : C(t)x \text{ is once continuously differentiable in } t\}.$ To establish our main theorem we need the following lemmas.

Lemma 2.[8] Let (H_1) hold. Then

- (i) there exist constants $M \ge 1$ and $\omega \ge 0$ such that $|C(t)| \le M e^{\omega|t|}$ and $|S(t) C(t)| \le M e^{\omega|t|}$ $|S(t^*)| \leq M \left| \int_t^{t^*} e^{\omega |s|} ds \right|$ for $t, t^* \in R$;
- (ii) $S(t)X \subset E$ and $S(t)E \subset D(A)$ for $t \in R$;
- (iii) $\frac{d}{dt}C(t)x = AS(t)x$ for $x \in E$ and $t \in R$; (iv) $\frac{d^2}{dt^2}C(t)x = AC(t)x$ for $x \in D(A)$ and $t \in R$.

Lemma 3.[8] Let (H_1) hold, let $v : R \to X$ such that v is continuously differentiable and let $q(t) = \int_0^t S(t-s)v(s)ds$. Then

q is twice continuously differentiable and for $t \in R$, $q(t) \in D(A)$,

$$q'(t) = \int_0^t C(t-s)v(s)ds$$
, and $q''(t) = A_q(t) + v(t)$.

Lemma 4. (Schaefer Theorem [7]) Let S be a convex subset of a normed linear space Y and assume $0 \in S$. Let $F: S \to S$ be a completely continuous operator, and let

$$\xi(F) = \{ x \in S : x = \lambda Fx \text{ for some } 0 < \lambda < 1 \}.$$

Then either $\xi(F)$ is unbounded or F has a fixed point.

Definition. A continuous function $x : [-r, T] \to X, T > 0$, is called a mild solution of the problem (1) if $x_0 = \phi$, and if it satisfies the integral equation

$$\begin{aligned} x(t) &= C(t)\phi(0) + S(t)[y_0 - g(0,\phi)] + \int_0^t C(t-s)g(s,x_s)ds \\ &+ \int_0^t S(t-s)f(s,x_s,x'(s))ds, \quad t \in J. \end{aligned}$$

We make the following assumptions:

- (H_2) C(t), t > 0 is compact.
- (H_3) The function g is completely continuous and such that the operator

$$G: C([-r, 0], X) \to C([0, T], X)$$

defined by $(G\phi)(t) = g(t, \phi)$ is compact.

 (H_4) There exist constants c_1 and c_2 such that

$$|g(t,\phi)| \le c_1 ||\phi|| + c_2, \quad t \in J, \quad \phi \in C.$$

- (H₅) The function $f(t,.,.): C \to X$ is continuous for each $t \in J$.
- (H₆) The function $f(., x, y) : J \to X$ is strongly measurable for each $x \in C$ and $y \in X$.
- (H_7) For every positive constant k there exists $\alpha_k \in L^1(J)$ such that

$$\sup_{\|x\|, |y| \le k} |f(t, x, y)| \le \alpha_k(t) \text{ for a.a } t \in J.$$

 (H_8) There exists a continuous function $p: J \to [0,\infty)$ such that

$$|f(t,x,y)| \le m(t)\Omega(||x|| + |y|), \quad t \in J, \quad x \in C \text{ and } y \in X,$$

where $\Omega: [0,\infty) \to (0,\infty)$ is a continuous nondecreasing function and

$$\int_0^T m(s)ds < \int_c^\infty \frac{ds}{s + \Omega(s)} < \infty$$

where

$$m(t) = \max\{c_1[Mc_1 + M + M^*], M(c_1T + T + 1)p(t)\},\$$

$$M = \sup\{|C(t)| : t \in J\}, \quad M^* = \sup\{|AS(t)| : t \in J\},\$$

 $c = (M + M^* + c_1) \|\phi\| + (1 + T)M\{\|y_0\| + c_1\|\phi\| + c_2\} + (M + M^*)c_2T + c_2.$

3. Main Result

Theorem. Suppose (H_1) - (H_8) hold. Then the IVP (1) has atleast one mild solution on [-r, T].

Proof. Consider the space $Z = C([-r,T],X) \cap C^1(J,X)$ with the norm

$$||x||^* = \max\{||x||_r, ||x'||_0\},\$$

where

$$||x||_r = \sup\{|x(t)|: -r \le t \le T\}, \quad ||x'||_0 = \sup\{|x'(t)|: 0 \le t \le T\}.$$

To prove the existence of a mild solution of the IVP (1) we apply Lemma 4. First we obtain a *priori bounds* for the mild solutions of the IVP

$$\frac{d}{dt}[x'(t) - \lambda g(t, x_t)] = \lambda A x(t) + \lambda f(t, x_t, x'(t)), \quad t \in J, \quad \lambda \in (0, 1).$$
(2)

Let x be a mild solution of the equation (2). From

$$\begin{aligned} x(t) &= \lambda C(t)\phi(0) + \lambda S(t)[y_0 - g(0,\phi)] + \lambda \int_0^t C(t-s)g(s,x_s)ds \\ &+ \lambda \int_0^t S(t-s)f(s,x_s,x'(s))ds, \quad t \in J, \end{aligned}$$

we have

$$\begin{aligned} |x(t)| &\leq M ||\phi|| + MT\{|y_0| + c_1||\phi|| + 2_{c_2}\} + M_{c_1} \int_0^t ||x_s|| ds \\ &+ MT \int_0^t p(s)\Omega(||x_s|| + |x'(s)|) ds, \quad t \in J. \end{aligned}$$

Consider the function μ defined by

$$\mu(t) = \sup\{|x(s)| : -r \le s \le t\}, \quad t \in J.$$

Let $t^* \in [-r, t]$ be such that $\mu(t) = |x(t^*)|$. If $t^* \in [0, t]$, by the previous inequality we have,

$$\mu(t) \le M ||\phi|| + MT\{|y_0| + c_1||\phi|| + 2c_2\} + Mc_1 \int_0^t \mu(s) ds$$
$$+ MT \int_0^t p(s)\Omega(\mu(s) + |x'(s)|) ds \quad t \in J.$$

If $t^* \in [-r, 0]$ then $\mu(t) = ||\phi||$ and the previous inequality holds since $M \ge 1$.

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Denoting by v(t) the right hand side of the above inequality we have,

$$\mu(t) \le v(t), \quad t \in J, v(0) = M ||\phi|| + MT\{|y_0| + c_1 ||\phi|| + 2c_2\}$$

and

$$v'(t) = Mc_1\mu(t) + MTp(t)\Omega(\mu(t) + |x'(t)|), \quad t \in J, \\ \leq Mc_1v(t) + MTp(t)\Omega(v(t) + |x'(t)|), \quad t \in J.$$

By

$$\begin{aligned} x'(t) &= \lambda AS(t)\phi(0) + \lambda C(t)[y_0 - g(0,\phi)] + \lambda g(t,x_t) + \lambda \int_0^t AS(t-s)g(s,x_s)ds \\ &+ \lambda \int_0^t C(t-s)f(s,x_s,x'(s))ds, \quad t \in J, \end{aligned}$$

we obtain

$$\begin{aligned} |x'(t)| &\leq M^* ||\phi|| + M\{y_0| + c_1 ||\phi|| + c_2\} + c_1 ||x_t|| + c_2 + M^* \Big\{ C_2 T + c_1 \int_0^t ||x_s|| ds \Big\} \\ &+ M \int_0^t p(s) \Omega(||x_s|| + |x'(s)|) ds, \quad t \in J. \end{aligned}$$

Denoting by r(t) the right hand side of the above inequality we have

$$\begin{aligned} |x'(t)| &\leq r(t), \quad t \in J, \\ r(0) &= M^* ||\phi|| + M\{|y_0| + c_1||\phi|| + c_2\} + c_1 ||\phi|| + c_2 + M^* c_2 T, \end{aligned}$$

and

$$\begin{aligned} r'(t) &\leq c_1 v'(t) + M^* c_1 v(t) + M p(t) \Omega(v(t) + r(t)), & t \in J, \\ &\leq c_1 \{ M c_1 v(t) + M T p(t) \Omega(v(t) + r(t)) \} + M^* c_1 v(t) + M p(t) \Omega(v(t) + r(t)), & t \in J. \end{aligned}$$

Let

$$w(t) = v(t) + r(t), \quad t \in J.$$

Then

$$w(0)=c,$$

and

$$w'(t) = v'(t) + r'(t)$$

$$\leq c_1 [Mc_1 + M + M^*]v(t) + M(c_1T + T + 1)p(t)\Omega(v(t) + r(t))$$

$$\leq c_1 [Mc_1 + M + M^*]w(t) + M(c_1T + T + 1)p(t)\Omega(w(t))$$

$$\leq m(t)w(t) + m(t)\Omega(w(t)), \quad t \in J.$$

This implies

$$\int_{w(0)}^{w(t)} \frac{ds}{s + \Omega(s)} \le \int_0^T m(s) ds < \int_c^\infty \frac{ds}{s + \Omega(s)}, \quad t \in J.$$

This inequality implies that there is constant K such that

$$w(t) = v(t) + r(t) \le K, \quad t \in J.$$

Then

$$\begin{aligned} |x(t)| &\leq v(t) \leq K, \quad t \in J, \\ |x'(t)| &\leq r(t) \leq K, \quad t \in J, \end{aligned}$$

and hence

$$||x||^* = \max\{||x||_r, ||x'||_0\} \le K,$$

where K depends only on T and on the functions m and Ω .

We shall now prove that the operator $F: Z \to Z$ defined by

$$(Fx)(t) = \phi(t), \quad -r \le t \le 0,$$

$$(Fx)(t) = C(t)\phi(0) + S(t)[y_0 - g(0, \phi)] + \int_0^t C(t - s)g(s, x_s)ds$$

$$+ \int_0^t S(t - s)f(s, x_s, x'(s))ds, \quad t \in J,$$
(3)

is a completely continuous operator.

Let $B_k = \{x \in Z : ||x||^* \le k\}$ for some $k \ge 1$. We first show that F maps B_k into an equicontinuous family. Let $x \in B_k$ and $t_1, t_2 \in J$. Then if $0 < t_1 < t_2 \le T$,

$$\begin{split} |(Fx)(t_{1}) - (Fx)(t_{2})| \\ &\leq |[C(t_{1}) - C(t_{2})]\phi(0)| + |[S(t_{1}) - S(t_{2})][y_{0} - g(0, \phi)]| \\ &+ |\int_{0}^{t_{1}} [C(t_{1} - s) - C(t_{2} - s)]g(s, x_{s})ds| + \left|\int_{t_{1}}^{t_{2}} C(t_{2} - s)g(s, x_{s})ds\right| \\ &+ \left|\int_{0}^{t_{1}} [S(t_{1} - s) - S(t_{2} - s)]f(s, x_{s}, x'(s))ds\right| \\ &+ \left|\int_{t_{1}}^{t_{2}} S(t_{2} - s)f(s, x_{s}, x'(s))ds\right| \\ &\leq |C(t_{1}) - C(t_{2})|||\phi|| + |S(t_{1}) - S(t_{2})|\{|y_{0}| + c_{1}||\phi|| + c_{2}\} \\ &+ \int_{0}^{t_{1}} |C(t_{1} - s) - C(t_{2} - s)|\{c_{1}||x_{s}|| + c_{2}\}ds \\ &+ \int_{t_{1}}^{t_{2}} |C(t_{2} - s)|\{c_{1}||x_{s}|| + c_{2}\}ds \end{split}$$

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$$egin{aligned} &+ \int_{0}^{t_{1}} |S(t_{1}-s)-S(t_{2}-s)| lpha_{k}(s) ds \ &+ \int_{t_{1}}^{t_{2}} |S(t_{2}-s)| lpha_{k}(s) ds, \end{aligned}$$

and similarly,

$$\begin{split} |(Fx)'(t_{1}) - (Fx)'(t_{2})| \\ \leq |A(S(t_{1}) - S(t_{2}))\phi(0)| + |[C(t_{1}) - C(t_{2})][y_{0} - g(0,\phi)]| \\ + |g(t_{1}, x_{t_{1}}) - g(t_{2}, x_{t_{2}})| + \left| \int_{0}^{t_{1}} A(S(t_{1} - s) - S(t_{2} - s))g(s, x_{s})ds \right| \\ + \left| \int_{t_{1}}^{t_{2}} AS(t_{2} - s)g(s, x_{s})ds \right| \\ + \left| \int_{0}^{t_{1}} [C(t_{1} - s) - C(t_{2} - s)]f(s, x_{s}, x'(s))ds \right| \\ + \left| \int_{t_{1}}^{t_{2}} C(t_{2} - s)f(s, x_{s}, x'(s))ds \right| \\ \leq |A(S(t_{1}) - S(t_{2}))|||\phi|| + |[C(t_{1}) - C(t_{2})]|\{|y_{0}| + c_{1}||\phi|| + c_{2}\} \\ + |g(t_{1}, x_{t_{1}}) - g(t_{2}, x_{t_{2}})| + \int_{0}^{t_{1}} |A(S(t_{1} - s) - S(t_{2} - s))|\{c_{1}||x_{s}|| + c_{2}\}ds \\ + \int_{t_{1}}^{t_{2}} |AS(t_{2} - s)|\{c_{1}||x_{s}|| + c_{2}\}ds \\ + \int_{0}^{t_{1}} |C(t_{1} - s) - C(t_{2} - s)|\alpha_{k}(s)ds \\ + \int_{t_{1}}^{t_{2}} |C(t_{2} - s)|\alpha_{k}(s)ds, \end{split}$$

$$(5)$$

The right-hand side of (4) and (5) are independent of $y \in B_k$ and tends to zero as $t_2 - t_1 \to 0$, since C(t), S(t) are uniformly continuous for $t \in J$ and the compactness of C(t), S(t) for t > 0 imply the continuity in the uniform operator topology. The compactness of S(t) follows from that of C(t) (see [9].)

Thus F maps B_k into an equicontinuous family of functions. It is easy to see that the family FB_k is uniformly bounded.

Next we show $\overline{FB_k}$ is compact. Since we have shown FB_k is an equicontinuous collection, it suffices by the Arzela-Ascoli theorem to show that F maps B_k into a precompact set in X.

Let $0 < t \leq T$ be fixed and ϵ a real number satisfying $0 < \epsilon < t$. For $x \in B_k$ we define

$$(F_{\epsilon}x)(t) = C(t)\phi(0) + S(t)[y_0 - g(0,\phi] + \int_0^{t-\epsilon} C(t-s)g(s,x_s)ds + \int_0^{t-\epsilon} S(t-s)f(s,x_s,x'(s))ds, \quad t \in J,$$

(4)

Since C(t), S(t) are compact operators, the set $Y_{\epsilon}(t) = \{(F_{\epsilon}x)(t) : x \in B_k\}$ is precompact in X for every ϵ , $0 < \epsilon < t$. Moreover for every $x \in B_k$ we have

$$\begin{aligned} |(Fx)(t) - (F_{\epsilon}x)(t)| &\leq \int_{t-\epsilon}^{t} |C(t-s)g(s,x_s)|ds \\ &+ \int_{t-\epsilon}^{t} |S(t-s)f(s,x_s,x'(s))|ds \\ &\leq \int_{t-\epsilon}^{t} |C(t-s)| \{c_1||x_s|| + c_2\} ds \\ &\int_{t-\epsilon}^{t} |S(t-s)|\alpha_k(s)ds, \end{aligned}$$

and

$$\begin{split} |(Fx)'(t) - (F_{\epsilon}x)'(t)| \\ &\leq |g(t,x_t) - C(\epsilon)g(t-\epsilon,x_{t-\epsilon})| + \int_{t-\epsilon}^t |AS(t-s)g(s,x_s)|ds \\ &+ \int_{t-\epsilon}^t |C(t-s)f(s,x_s,x'(s))|ds \\ &\leq |g(t,x_t) - C(\epsilon)g(t-\epsilon,x_{t-\epsilon})| + \int_{t-\epsilon}^t |AS(t-s)|\{c_1||x_s|| + c_2\}ds \\ &+ \int_{t-\epsilon}^t |C(t-s)|\alpha_k(s)ds, \end{split}$$

Therefore there are precompact sets arbitrarily close to the set $\{(Fx)(t) : x \in B_k\}$. Hence the set $\{(Fx)(t) : x \in B_k\}$ is precompact in X.

It remains to show that $F: Z \to Z$ is continuous. Let $\{x_n\}_0^\infty \subseteq Z$ with $x_n \to x$ in Z. Then there is an integer q such that $|x_n(t)| \leq q$, $|x'_n(t)| \leq q$ for all n and $t \in J$, so $|x(t)| \leq q$, $|x'(t)| \leq q$ and $x, x' \in Z$. By (H_7)

$$f(t, x_{nt}, x_n'(t)) \to f(t, x_t, x'(t))$$

for each $t \in J$ and since

$$|f(t, x_{nt}, x_n'(t)) - f(t, x_t, x'(t))| \le 2\alpha_q(t),$$

we have by dominated convergence theorem,

$$||Fx_n - Fx|| = \sup_{t \in J} \left| \int_0^t C(t-s)[g(s,x_{ns}) - g(s,x_s)]ds + \int_0^t S(t-s)[f(s,x_{ns},x_n'(s)) - f(s,x_s,x'(s))]ds \right|$$

$$\leq \int_{0}^{T} |C(t-s)[g(s,x_{ns}) - g(s,x_{s})]| ds \\ + \int_{0}^{T} |S(t-s)[f(s,x_{ns},x_{n}'(s)) - f(s,x_{s},x'(s))]| ds \to 0 \quad \text{as } n \to \infty,$$

and

$$\begin{aligned} \|(Fx_n)' - (Fx)'\| \\ &= \sup_{t \in J} \left| [g(t, x_{nt}) - g(t, x_t)] + \int_0^t AS(t-s) [g(s, x_{ns}) - g(s, x_s)] ds \right. \\ &+ \int_0^t C(t-s) [f(s, x_{ns}, x_n'(s)) - f(s, x_s, x'(s))] ds \right| \\ &\leq |g(t, x_{nt}) - g(t, x_t)| ds + \int_0^t |AS(t-s)[g(s, x_{ns}) - g(s, x_s)]| ds \\ &+ \int_0^T |C(t-s)[f(s, x_{ns}, x_n'(s)) - f(s, x_s, x'(s))] ds | r \to 0, \quad \text{as } n \to \infty. \end{aligned}$$

Thus F is continuous. This completes the proof that F is completely continuous.

Obviously, the set $\xi(F) = \{x \in Z : x = \lambda Fx, \lambda \in (0, 1)\}$ is bounded, as we proved in the first step. Consequently by Schaefer's theorem the operator F has a fixed point in Z. This means that any fixed point of F is a mild solution of (1) on [-r, T] satisfying (Fx)(t) = x(t). Thus IVP (1) has atleast one mild solution on [-r, T].

4. Example

Consider the following partial differential equation

$$\frac{\partial}{\partial t}(z_t(y,t) - \mu(t, z(y,t-r) = z_{yy}(y,t) + \int_0^t \sigma(s, z(y,s-r), z_s(y,s))ds,$$

$$z(0,t) = z(\pi,t) = 0, \quad \text{for } t > 0,$$

$$z(y,t) = \phi(y,t), \quad \text{for } -r \le t \le 0,$$

$$z_t(y,0) = z_1(y), \quad t \in J = [0,T], \text{ for } 0 < y < \pi,$$
(6)

where ϕ is continuous.

Let $X = L^2[0, \pi]$ and let $A : X \to X$ be defined by

 $Aw = w'', \quad w \in D(A),$

where $D(A) = \{w \in X : w, w' \text{ are absolutely continuous, } w'' \in X, w(0) = w(\pi) = 0\}.$ Then,

$$Aw = \sum_{n=1}^{\infty} -n^2(w, w_n)w_n, \quad w \in D(A),$$

where $w_n(s) = \sqrt{2/\pi} \sin ns$, n = 1, 2, 3, ... is the orthogonal set of eigenvalues of A.

It can be easily shown that A is the infinitesimal generator of a strongly continuous cosine family C(t), $t \in R$, in X and is given by

$$C(t)w = \sum_{n=1}^{\infty} \cos nt(w, w_n)w_n, \quad w \in X.$$

The associated sine family is given by

$$S(t)w = \sum_{n=1}^{\infty} \frac{1}{n} \sin nt(w, w_n)w_n, \quad w \in X.$$

Let $g: J \times C \to X$ be defined by

$$g(t, u)(y) = \mu(t, u(y)), \quad u \in C, \quad y \in [0, \pi],$$

where $\mu : J \times [0, \pi] \to [0, \pi]$ is continuous and strongly measurable. Also there exist positive constants c_1 and c_2 such that

$$\|\mu(t,\phi)\| \leq c_1 \|\phi\| + c_2.$$

Let $f: J \times C \times X \to X$ be defined by

$$f(t, v, w)(y) = \sigma(t, v(y), w(y)), \quad v \in C, w \in X, \quad y \in [0, \pi],$$

where $\sigma: J \times [0, \pi] \times [0, \pi] \to [0, \pi]$ is continuous and strongly measurable. Further the function σ satisfies the following condition:

There exists a continuous function $p: J \to [0, \infty)$ such that

$$\|\sigma(t, v, w)\| \le p(t)\Omega(\|v\| + |w|), \quad t \in J, v \in C, w \in X,$$

where $\Omega: [0,\infty) \to (0,\infty)$ is a continuous nondecreasing function such that

$$\int_0^T p(s)ds < \int_c^\infty \frac{ds}{s+\Omega(s)},$$

and c is a known constant.

With this choice of A, g and f, (1) is an abstract formulation of (6). Furthermore, all the conditions stated in the above theorem are statisfied. hence the equation (6) has at least one mild solution on [-r, T].

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