

ON THE INTRINSIC DESZCZ SYMMETRIES AND  
THE EXTRINSIC CHEN CHARACTER OF WINTGEN IDEAL  
SUBMANIFOLDS

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**Abstract.** In this paper it is shown that all *Wintgen ideal submanifolds* in ambient real space forms are *Chen submanifolds*. It is also shown that the Wintgen ideal submanifolds of dimension  $> 3$  in real space forms do intrinsically enjoy some *curvature symmetries in the sense of Deszcz of their Riemann–Christoffel curvature tensor, of their Ricci curvature tensor and of their Weyl conformal curvature tensor.*

1. Wintgen ideal submanifolds

Let  $M^n$  be an  $n$ -dimensional Riemannian submanifold of an  $(n + m)$ -dimensional real space form  $\tilde{M}^{n+m}(c)$  of curvature  $c$ , ( $n \geq 2, m \geq 1$ ). Let  $g$  and  $\nabla$ , and, respectively,  $\tilde{g}$  and  $\tilde{\nabla}$ , denote the *Riemannian metrics* and the corresponding *Levi–Civita connections* of  $M^n$  and of  $\tilde{M}^{n+m}(c)$ . The *formulae of Gauss and Weingarten* are then given by

$$\tilde{\nabla}_X Y = \nabla_X Y + h(X, Y) \quad (1)$$

and

$$\tilde{\nabla}_X \xi = -A_\xi X + \nabla_X^\perp \xi, \quad (2)$$

whereby  $h$ ,  $A_\xi$  and  $\nabla^\perp$  denote the *second fundamental form*, the *shape operator* or *Weingarten map* with respect to  $\xi$  and the *normal connection* of  $M^n$  in  $\tilde{M}^{n+m}(c)$ , respectively, ( $X, Y$ , etc. stand for *tangent vector fields* and  $\xi$  etc. for *normal vector fields* on  $M^n$  in  $\tilde{M}^{n+m}(c)$ ). From (1) and (2) it follows that

$$\tilde{g}(h(X, Y), \xi) = g(A_\xi(X), Y), \quad (3)$$

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such that, for any *orthonormal local normal frame*  $\{\xi_\alpha\}$  on  $M^n$  in  $\tilde{M}^{n+m}(c)$ , ( $\alpha, \beta, \dots \in \{1, 2, \dots, m\}$ ):

$$h(X, Y) = \sum_{\alpha} g(A_\alpha(X), Y)\xi_\alpha, \quad (4)$$

whereby  $A_\alpha = A_{\xi_\alpha}$ . The *mean curvature vector field*  $\vec{H}$  of  $M^n$  in  $\tilde{M}^{n+m}(c)$  is defined as  $\vec{H} = \frac{1}{n} \text{tr } h = \frac{1}{n} \sum_{i=1}^n h(E_i, E_i)$ , for any *orthonormal local tangent frame*  $\{E_i\}$  on  $M^n$ , ( $i, j, \dots \in \{1, 2, \dots, n\}$ ), and its length  $H = \|\vec{H}\|$  is the *mean curvature* of  $M^n$  in  $\tilde{M}^{n+m}(c)$ .

Let  $R$  denote the  $(0, 4)$  *Riemann-Christoffel curvature tensor* of  $(M^n, g)$ . Then, according to the *equation of Gauss*,

$$\begin{aligned} R(X, Y, Z, W) &= \tilde{g}(h(Y, Z), h(X, W)) - \tilde{g}(h(X, Z), h(Y, W)) \\ &\quad + c \{g(Y, Z)g(X, W) - g(X, Z)g(Y, W)\}. \end{aligned} \quad (5)$$

Denoting by  $\tau$  the *scalar curvature function* of  $(M^n, g)$ , we have

$$\tau(p) := \sum_{i < j} K(p, E_i(p) \wedge E_j(p)), \quad (6)$$

whereby  $K(p, E_i(p) \wedge E_j(p))$  denotes the sectional curvature of  $(M^n, g)$  at a point  $p$  of  $M^n$  for the plane section  $\pi = E_i(p) \wedge E_j(p)$  in  $T_p M^n$ . By  $K_{inf}$  we will further denote the function  $K_{inf} : M \rightarrow R : p \mapsto K_{inf}(p) :=$  *the minimal value of all sectional curvatures of  $M$  at  $p$ .*

The *normalised scalar curvature*  $\rho$  of the Riemannian manifold  $M^n$  is defined to be

$$\rho = \frac{2}{n(n-1)} \sum_{i < j} R(E_i, E_j, E_j, E_i). \quad (7)$$

By the *equation of Ricci*, the *normal curvature tensor*  $R^\perp$  of  $M$  in  $\tilde{M}$  is given as follows:

$$R^\perp(X, Y; \xi, \eta) := \tilde{g}(R^\perp(X, Y)\xi, \eta) = g([A_\xi, A_\eta]X, Y), \quad (8)$$

whereby  $R^\perp(X, Y) := \nabla_X^\perp \nabla_Y^\perp - \nabla_Y^\perp \nabla_X^\perp - \nabla_{[X, Y]}^\perp$  and  $[A_\xi, A_\eta] := A_\xi A_\eta - A_\eta A_\xi$ .

The *normalised scalar normal curvature*  $\rho^\perp$  of  $M$  in  $\tilde{M}$  is then defined to be

$$\rho^\perp = \frac{2}{n(n-1)} \left\{ \sum_{i < j} \sum_{\alpha < \beta} [R^\perp(E_i, E_j; \xi_\alpha, \xi_\beta)]^2 \right\}^{\frac{1}{2}}. \quad (9)$$

We remark that  $\rho^\perp = 0$  if and only if *the normal connection is flat*, which, as follows from (8) and as already observed by Cartan [2], is equivalent to the simultaneous diagonalisability of all shape operators  $A_\xi$  of  $M^n$  in  $\tilde{M}^{n+m}$ .

For surfaces  $M^2$  in  $E^3$ , the *Euler inequality*  $K \leq H^2$ , whereby  $K$  is the *intrinsic Gauss curvature* of  $M^2$  and  $H^2$  is the *extrinsic squared mean curvature* of  $M^2$  in  $E^3$ , at once follows from the fact that  $K = k_1 k_2$  and  $H = \frac{1}{2}(k_1 + k_2)$  whereby  $k_1$  and  $k_2$  denote

the *principal curvatures* of  $M^2$  in  $E^3$ . And, obviously,  $K = H^2$  everywhere on  $M^2$  if and only if the surface  $M^2$  is *totally umbilical* in  $E^3$ , i.e.  $k_1 = k_2$  at all points of  $M^2$ , or still, by a *theorem of Meusnier*, if and only if  $M^2$  is a part of a *plane*  $E^2$  or of a *round sphere*  $S^2$  in  $E^3$ . In the late 19 seventies, Wintgen proved that the *Gauss curvature*  $K$  and the *squared mean curvature*  $H^2$  and the *normal curvature*  $K^\perp$  of any surface  $M^2$  in  $E^4$  always satisfy the inequality

$$K \leq H^2 - K^\perp, \tag{10}$$

and that actually *the equality holds* if and only if the curvature ellipse of  $M^2$  in  $E^4$  is a circle [24]. We recall that the *ellipse of curvature* at a point  $p$  of  $M$  is defined as  $\mathcal{E}_p = \{h(X, X) | X \in T_pM, \|X\| = 1\}$ . This Wintgen inequality between the most important intrinsic and extrinsic scalar valued curvatures of surfaces  $M^2$  in  $E^4$  was shown to hold more generally for all surfaces  $M^2$  in arbitrary dimensional space forms  $\tilde{M}^{2+m}(c)$ , inclusive the above characterisation of the equality case, by Rouxel in 1981 [18] and by Guadalupe and Rodriguez in 1983 [11]. After these extensions of Wintgen inequality (10), in 1999 De Smet, Dillen, Vrancken and one of the authors [6] proved the *Wintgen inequality*  $\rho \leq H^2 - \rho^\perp + c$  for all submanifolds  $M^n$  of codimension 2 in all real space forms  $\tilde{M}^{n+2}(c)$  and characterised the equality as follows in terms of the shape operators.

**Theorem A.** *For any submanifold  $M^n$  of arbitrary dimension  $n$  and codimension 2 in a real space form  $\tilde{M}^{n+2}(c)$  of curvature  $c$ , at every point  $p$  of  $M^n$ :*

$$\rho \leq H^2 - \rho^\perp + c, \tag{11}$$

and equality holds if and only if there exist orthonormal bases of the tangent space  $T_pM$  and the normal space  $T_p^\perp M$  with respect to which the corresponding Weingarten maps are given by

$$A_1 = \begin{pmatrix} \lambda & \mu & 0 & \dots & 0 \\ \mu & \lambda & 0 & \dots & 0 \\ 0 & 0 & \lambda & \dots & 0 \\ \vdots & \vdots & \vdots & \dots & \vdots \\ 0 & 0 & 0 & \dots & \lambda \end{pmatrix}, \quad A_2 = \begin{pmatrix} \mu & 0 & 0 & \dots & 0 \\ 0 & -\mu & 0 & \dots & 0 \\ 0 & 0 & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & \dots & \vdots \\ 0 & 0 & 0 & \dots & 0 \end{pmatrix},$$

for some  $\lambda, \mu \in R$ .

We remark that, in the case of trivial normal connection, (11) reduces to Chen’s inequality  $\rho \leq H^2 + c$  established in [3]. The *Wintgen inequality* (11) was conjectured to hold for all submanifolds  $M^n$  in all real space forms  $\tilde{M}^{n+m}(c)$  in the same paper [6], and this is called “the DDVV conjecture” or “the conjecture on Wintgen’s inequality”. Recently, Choi and Lu [5] proved that this conjecture is true for all 3-dimensional submanifolds of arbitrary dimensional real space forms  $\tilde{M}^{3+m}(c)$ , ( $m \geq 2$ ), and very recently, and

independently, Lu [16] and Ge and Tang [10], settled this conjecture in general. From [10] we recall the final result in this respect.

**Theorem B.** *For any submanifold  $M^n$  of arbitrary dimension  $n$ ,  $n \geq 2$ , and with arbitrary codimension  $m$ ,  $m \geq 2$  in a real space form  $\tilde{M}^{n+m}(c)$  of curvature  $c$ , at every point  $p$  of  $M^n$ :*

$$\rho \leq H^2 - \rho^\perp + c, \quad (12)$$

and equality holds if and only if there exist orthonormal bases of the tangent space  $T_p M$  and the normal space  $T_p^\perp M$  with respect to which the corresponding Weingarten maps are given by

$$A_1 = \begin{pmatrix} \lambda & \mu & 0 & \dots & 0 \\ \mu & \lambda & 0 & \dots & 0 \\ 0 & 0 & \lambda & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & \lambda \end{pmatrix}, \quad A_2 = \begin{pmatrix} \mu & 0 & 0 & \dots & 0 \\ 0 & -\mu & 0 & \dots & 0 \\ 0 & 0 & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & 0 \end{pmatrix},$$

for some  $\lambda, \mu \in \mathbb{R}$ , and all other shape operators do vanish identically.

Submanifolds satisfying the equality in the Wintgen inequality (12) are called *Wintgen ideal submanifolds*. A justification for the terminology "Wintgen ideal submanifolds"  $M^n$  in  $\tilde{M}^{n+m}(c)$  for those submanifolds  $M^n$  in  $\tilde{M}^{n+m}(c)$  for which  $\rho = H^2 - \rho^\perp + c$  holds at all points  $p$  of  $M^n$ , is as follows: for all possible isometric immersions of  $M^n$  in space forms  $\tilde{M}^{n+m}(c)$ , the value of the *intrinsic scalar curvature*  $\rho$  of  $M$  puts a lower bound to all possible values of the extrinsic curvature  $H^2 - \rho^\perp + c$  that  $M$  in any case can not avoid to "undergo" as a submanifold in  $\tilde{M}$ . And, from this point of view,  $M$  is called a *Wintgen ideal submanifold*, when it actually is able to achieve a realisation in  $\tilde{M}$  such that this extrinsic curvature indeed everywhere assumes its theoretically smallest possible value as given by its intrinsic normalised scalar curvature.

## 2. Deszcz symmetries of Wintgen ideal submanifolds

For a Riemannian manifold  $(M^n, g)$ , let  $R$  also denote the  $(1, 1)$  curvature operator  $R(X, Y) := \nabla_X \nabla_Y - \nabla_Y \nabla_X - \nabla_{[X, Y]}$ , besides the  $(0, 4)$  curvature tensor, such that, by definition

$$R(X, Y, Z, W) = g(R(X, Y)Z, W), \quad (13)$$

By the action of the curvature operator  $R$  working as a derivation on the curvature tensor  $R$ , the following  $(0, 6)$  tensor  $R \cdot R$  is obtained:

$$\begin{aligned} (R \cdot R)(X_1, X_2, X_3, X_4; X, Y) &:= (R(X, Y) \cdot R)(X_1, X_2, X_3, X_4) \\ &= -R(R(X, Y)X_1, X_2, X_3, X_4) - R(X_1, R(X, Y)X_2, X_3, X_4) \\ &\quad - R(X_1, X_2, R(X, Y)X_3, X_4) - R(X_1, X_2, X_3, R(X, Y)X_4). \end{aligned} \quad (14)$$

It was recently shown by one of the authors and Haesen [12], that this tensor  $R \cdot R$  can be geometrically interpreted as giving the second order measure of *the change of the sectional curvatures  $K(p, \pi)$  for tangent 2D-planes  $\pi$  at points  $p$  after the parallel transport of  $\pi$  all around infinitesimal co-ordinate parallelograms in  $M$  cornered at  $p$* . Thus, according to [12], the *semi-symmetric* or *Szabó symmetric spaces* ([20] [21]), i.e. the spaces satisfying  $R \cdot R = 0$ , are the Riemannian manifolds  $(M^n, g)$  for which all *sectional curvatures remain preserved after parallel transport of their planes around all infinitesimal co-ordinate parallelograms in  $M$* . The *locally symmetric* or *Cartan symmetric spaces*, i.e. the Riemannian manifolds  $(M^n, g)$  for which  $\nabla R = 0$ , constitute a *proper subclass* of the Szabó symmetric spaces. *Deszcz symmetric spaces* or *pseudo-symmetric spaces* ([7] [23]) are characterised by the fact that their  $(0, 6)$  curvature tensor  $R \cdot R$  is proportional to their  $(0, 6)$  *Tachibana tensor*  $Q(g, R) := -\wedge_g \cdot R$ , whereby the metrical endomorphism  $\wedge_g$  acts on the  $(0, 4)$  tensor  $R$  as a derivation, i.e. by the fact that

$$R \cdot R = L Q(g, R), \tag{15}$$

for some function  $L : M^n \rightarrow R$ , (whenever  $Q(g, R) \neq 0$ ). We recall that  $Q(g, R) \equiv 0$  characterises the real space forms.

From [12] we further mention the following. Two 2-planes  $\pi$  and  $\bar{\pi}$ , spanned by vectors  $\vec{u}, \vec{v}$  and  $\vec{x}, \vec{y}$  respectively, at a same point  $p$  of  $M$ , are said to be *curvature dependent* if  $Q(g, R)(\vec{u}, \vec{v}, \vec{v}, \vec{u}; \vec{x}, \vec{y}) \neq 0$ , which condition is independent of the choices of bases for  $\pi$  and  $\bar{\pi}$ . For such planes, the *double sectional curvature* or the *sectional curvature of Deszcz* or the *Riemann curvature of Deszcz*  $L(p, \pi, \bar{\pi})$  is defined as the real number given by

$$L(p, \pi, \bar{\pi}) := \frac{(R \cdot R)(\vec{u}, \vec{v}, \vec{v}, \vec{u}; \vec{x}, \vec{y})}{Q(g, R)(\vec{u}, \vec{v}, \vec{v}, \vec{u}; \vec{x}, \vec{y})}, \tag{16}$$

(which is independent of the choices of bases for  $\pi$  and  $\bar{\pi}$ ); it is a *scalar valued Riemannian invariant*. The knowledge of the tensor  $R \cdot R$  is *equivalent* to the knowledge of the sectional curvatures  $L(p, \pi, \bar{\pi})$  of Deszcz. And just like the geometrical interpretation of the sectional curvatures  $K(p, \pi)$  of Riemann in terms of the *parallelogramoids of Levi-Civita* [15], also the sectional curvatures  $L(p, \pi, \bar{\pi})$  of Deszcz can be interpreted in these terms (in this respect, we refer to [13] where in particular such interpretations are obtained for the sectional curvatures as well as for the *Ricci* and *conformal Weyl curvatures* of Deszcz in terms of the *squaroids* of Levi-Civita). Finally the Deszcz symmetric spaces are characterised by the *isotropy* of the curvatures  $L(p, \pi, \bar{\pi})$ , i.e. by the property that at every point  $p$  of  $M$  the scalars  $L(p, \pi, \bar{\pi})$  are the same for all possible pairs of curvature dependent tangent planes  $\pi$  and  $\bar{\pi}$  at  $p$ . In the present situation however there is no lemma of Schur, which then would further force this real valued function  $L : M \rightarrow R$  automatically to be constant; therefore, Kowalski and Sekizawa called the pseudo-symmetric spaces for which *the double sectional curvature  $L$  is indeed a constant*, independent of the planes  $\pi$  and  $\bar{\pi}$  as well as of the points  $p$  of  $M$ , the *pseudo-symmetric spaces of constant type  $L$*  [14]. For instance, the standard models of the Thurston geometries [22] are the 3D-prototypes of the Deszcz-symmetric spaces with *constant  $L$*  [1].

And similar studies concerning, in particular, the  $(0, 4)$  Weyl conformal curvature tensor  $C$  and the  $(0, 2)$  Ricci tensor  $S$  have been carried through in the mean time, (characterising the corresponding "Deszcz-symmetries" in terms of the isotropy of the corresponding scalar curvature functions which depend on two planes and on a plane and a direction, respectively). For a recent general exposition on conditions of Deszcz symmetry we refer to [8].

It was shown in [17] that Wintgen ideal submanifolds  $M^n$  of dimension  $n > 3$  and with codimension 2 in real space forms  $\tilde{M}^{n+2}(c)$  of curvature  $c$  intrinsically enjoy some curvature symmetries in the sense of Deszcz, i.e. the Deszcz symmetries of their Riemann-Christoffel curvature tensor  $R$ , of their Ricci curvature tensor  $S$  and of their conformal curvature tensor of Weyl  $C$ . Such Wintgen ideal submanifolds  $M^n$  of  $\tilde{M}^{n+2}(c)$  are Deszcz symmetric if and only if  $M^n$  is totally umbilical in  $\tilde{M}^{n+2}(c)$ , in which case  $L = 0$ , or  $M^n$  is minimal in  $\tilde{M}^{n+2}(c)$ , in which case  $L = c$ . Moreover, it was proved in [9] that the Deszcz symmetry, or, equivalently, the property to be quasi-Einstein, for 3D-Wintgen ideal submanifolds  $M^3$  in  $\tilde{M}^{3+m}(c)$ , can be characterised in terms of the intrinsic minimal values of the Ricci curvatures of  $M$  and of the extrinsic notions of the umbilicity, the minimality and the pseudo-umbilicity of such  $M^3$  in  $\tilde{M}^{3+m}(c)$ . Therefore, concerning the study of Deszcz symmetries of Wintgen ideal submanifolds only the situation of dimension  $n > 3$  in case of arbitrary codimension  $m$  remains to be considered. But, in view of Theorems A and B, the proofs given in [17] obviously also hold for the general codimensions, so that accordingly we can announce the following general results.

**Theorem 1.** *A Wintgen ideal submanifold  $M^n$  of a real space form  $\tilde{M}^{n+m}(c)$ , ( $n > 3, m \geq 2$ ) is Deszcz symmetric, if and only if  $M^n$  is totally umbilical in  $\tilde{M}^{n+m}(c)$ , in which case  $L = 0$ , or  $M^n$  is minimal in  $\tilde{M}^{n+m}(c)$ , in which case  $L = c$ .*

**Theorem 2.** *A Wintgen ideal submanifold  $M^n$  of  $\tilde{M}^{n+m}(c)$ , ( $n > 3, m \geq 2$ ), is Deszcz Ricci-symmetric, i.e. satisfies  $R \cdot S = L_S Q(g, S)$  for some function  $L_S : M^n \rightarrow R$ , if and only if  $M^n$  is Deszcz symmetric.*

**Theorem 3.** *Every Wintgen ideal submanifold  $M^n$  of  $\tilde{M}^{n+m}(c)$ , ( $n > 3, m \geq 2$ ), is a Riemannian manifold with pseudo-symmetric conformal Weyl tensor, i.e. satisfies  $C \cdot C = L_C Q(g, C)$  for some function  $L_C : M^n \rightarrow R$ .*

**Proposition 4.** *A Wintgen ideal submanifold  $M^n$  of  $\tilde{M}^{n+m}(c)$ , ( $n > 3, m \geq 2$ ) is minimal if and only if the pseudo-symmetry function of its Weyl conformal curvature tensor is given by*

$$L_C = \frac{n-3}{(n-1)(n-2)} (c - K_{inf}).$$

### 3. Chen submanifolds

For submanifolds  $M^n$  of  $\tilde{M}^{n+m}$  the notion of allied vector field of a given normal vector field of  $M^n$  is defined in [4] and, accordingly, for any submanifold  $M^n$  in  $\tilde{M}^{n+m}$ ,

for a local orthonormal frame  $\{\xi_1 = \frac{\vec{H}}{\|\vec{H}\|}, \xi_2, \dots, \xi_m\}$  whereby  $\vec{H}$  is the *mean curvature vector field* of  $M^n$  in  $\tilde{M}^{n+m}$ ,

$$a(\vec{H}) = \frac{1}{n} \sum_{\beta=2}^m \text{tr}(A_1 A_\beta) \xi_\beta, \tag{17}$$

is the *allied vector field* of  $\vec{H}$  or *allied mean curvature vector field* of  $M^n$  in  $\tilde{M}^{n+m}$ . A submanifold  $M^n$  is called an *A-submanifold* or a *Chen submanifold* if the allied mean curvature vector field of  $M^n$  identically vanishes,  $a(\vec{H}) \equiv \vec{0}$ . By a result of B. Rouxel [19], a submanifold  $M^n$  of  $\tilde{M}^{n+m}$  is a Chen submanifold if and only if the mean curvature vector at any point  $p$  of  $M$ ,  $\vec{H}(p)$ , is an axis of symmetry of the  $(m - 2)$ -nd polar of its *Kommerell hyperquadric curvature image* in the normal space  $T_p^\perp M$ . Minimal submanifolds, pseudo-umbilical submanifolds and hypersurfaces are *Chen submanifolds* in a trivial way.

For *Wintgen ideal submanifolds*  $M^n$  in real space forms  $\tilde{M}^{n+m}(c)$ , from the specific forms of the shape operators of these submanifolds given in Theorem B, we have

$$A_1 A_2 = \begin{pmatrix} \lambda\mu - \mu^2 & 0 & \dots & 0 \\ \mu^2 - \lambda\mu & 0 & \dots & 0 \\ 0 & 0 & \dots & 0 \\ \vdots & \vdots & & \vdots \\ 0 & 0 & \dots & 0 \end{pmatrix}, \quad A_1 A_\gamma = 0, \quad (\gamma \in \{3, \dots, m\}),$$

such that their allied mean curvature vector field  $a(\vec{H})$  clearly always is identically zero. This yields the following.

**Theorem 5.** *Every Wintgen ideal submanifold  $M^n$  of arbitrary dimension  $n \geq 2$  and codimension  $m \geq 2$  in a real space form  $\tilde{M}^{n+m}(c)$ , is a Chen submanifold of  $\tilde{M}^{n+m}(c)$ .*

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